



Request for Qualifications and Quotations (RFQQ)

Number HBE 16-006

WAHBE SharePoint Version 2.0

RFQQ RELEASE DATE: October 4, 2016

RESPONSE DUE DATE: November 9, 2016

1. INTRODUCTION and OVERVIEW

1.1. Acquisition Purpose

The Washington Health Benefit Exchange (hereafter called WAHBE) is initiating this Request for Quotations and Qualifications (RFQQ) from a **Vendor with Office 365 SharePoint expertise to provide design, development and training services for a WAHBE branded and customized enterprise intranet website**. The goal of the internal website is to strengthen and support an innovative, collaborative team culture. SharePoint is the place for WAHBE employees to get information and find resources they need to perform their jobs efficiently.

1.2. Background

WAHBE implemented SharePoint Online in 2013. The current WAHBE SharePoint site is organized by twelve departments and a majority of documents saved on the site are categorized in the documents library. Other facts include:

- 107,100 files
- A maximum of 250 users of which 148 are active
- Average number of unique visits per business day = 248
- Average number of visits per day = 528
- Average files viewed/edited per day = 127
- Metadata for documents is not currently used
- Microsoft Dynamics CRM integration for 100 users

Though comprehensive user research has not been done, WAHBE employees have reported the following areas for SharePoint improvement:

- Provide single sign-on when connected to the WAHBE network
- Integration with ADP
- Ensure site content is up to date
- Search function should be a quick and easy way to locate documents
- Training would improve internal capabilities to use SharePoint more effectively (for example, InfoPath forms and workflows)

1.3. Objectives

WAHBE desires to contract with a qualified SharePoint vendor who can assist WAHBE in creating and establishing a forward thinking vision for SharePoint beyond the project implementation. The desired final product for this project will be a new intranet landing/home page and child page template layouts integrated with the existing WAHBE SharePoint Office 365. Design will meet user needs and will be welcoming, intuitive and modern. Site must integrate with Microsoft products and other programs, as described in the Scope of Work.

Due to resource constraints and concurrent priorities, WAHBE requires this project to be completed in an efficient manner, including:

- The final project plan shall be delivered to WAHBE no later than one month after project start date.
- Planning and design shall be completed in one month or less after project start date.
- No more than three design sessions/meetings will be used to arrive at the final prototype.
- New site is deployed in a phased approach, such as:
 - Deployment of one subsite at a time.

- Design deployed followed by reorganization of the site.
- Home page deployed then site by site.

To address the points outside the scope of the Vendor's work, WAHBE plans to bring together a governance team as part of this project. Specifically, the two part-time WAHBE SharePoint administrators, as well as a few members of the communications department, will be key members of that team. This project is sponsored by communications with a high level of support from IT.

1.4. Overview of WAHBE

The Washington Health Benefit Exchange was created in state statute in 2011 as a public-private partnership. WAHBE is responsible for the operation of *Washington Healthplanfinder*, an easily accessible, online marketplace for individuals, families, and small businesses to find, compare, and enroll in Qualified Health Plans and Washington Apple Health (Medicaid).

Washington Healthplanfinder offers Washington state residents:

- Side-by-side comparisons of Qualified Health and Dental Plans
- Tax credits or financial help to pay for co-pays and premiums
- Expert customer support offered online, by phone, or in person through local organizations or insurance brokers

WAHBE complies with open public meetings and public disclosure guidelines but is not subject to other laws that govern state agencies. WAHBE initially started in the Washington State Health Care Authority and has since transitioned to an independent organization. WAHBE works closely with state agency partners, including the Health Care Authority, Office of the Insurance Commissioner, and the Department of Social and Health Services.

WAHBE has 155 employees including FTEs and contractors. Most employees conduct work at the headquarters in Olympia, WA with a few accessing work resources remotely. WAHBE is organized by seven departments including Legal/Human Resources, Finance, IT, Project Management, Operations, Communications, and Policy.

1.5. Period of Performance

Work shall start as soon as possible after the contract is signed, preferably by the beginning of February 2017, and must be completed by September 1, 2017. Vendors shall provide a proposed timeline for completing this project with their response.

1.6. Minimum Qualifications

The Vendor for this project must have a minimum of five (5) years of experience in development and/or installation of SharePoint sites. WAHBE will not accept proposals from Vendors who do not meet this minimum requirement. A Microsoft Gold Certified Partner is preferred.

1.7. Location

While most tasks needed to complete this project can be accomplished from the Vendor's worksite, the Vendor may be required to attend meetings and trainings or perform specific activities on-site at WAHBE in Olympia, WA.

1.8. Award

Only one (1) Apparently Successful Vendor (ASV) will be identified via this procurement. WAHBE intends to award only one (1) Contract.

Under no circumstances shall the contractor perform any work until a Contract has been fully executed. Any work performed before execution is at the contractor's risk and expense. WAHBE is under no obligation to pay, and may be legally prohibited from paying, for any work performed prior to the start date of the contract and Statement of Work.

1.9. Funding

Any contract awarded as a result of this procurement is contingent upon the availability of funding. WAHBE does not guarantee any minimum compensation or work to the contractors selected through this RFQQ.

1.10. Procurement schedule

This RFQQ is being issued under the following Schedule. The Response deadlines are mandatory. Failure to meet any of the required deadlines (dates and times) will result in disqualification from participation.

Item	Action	Date
1	WAHBE issues Request for Quotes and Qualifications	October 4, 2016
2	Vendor Conference via Webinar – 1:00p.m. PT	October 11, 2016
3	Vendors may submit written questions until 3:00 p.m. PT	October 21, 2016
4	WAHBE will issue responses in an Addendum	October 28, 2016
5	Vendors must submit Responses to Work Request by 3:00 p.m. PT	November 9, 2016
6	WAHBE evaluation of Responses	November 14 - December 15, 2016
7	Vendor Oral Presentations	December 19 - 31, 2016
8	WAHBE notifies Apparently Successful Vendors (ASV) and begins negotiations. WAHBE also notifies unsuccessful Vendors	January 9, 2017
9	Vendor Debriefings	January 10-13, 2017
10	Contract(s) Execution	February 1, 2017

The **Schedule outlines important** dates for action. **WAHBE reserves the right to revise this Schedule. Items 1-5 may be revised at any** time by written addendum. Dates listed for items 6-10 are approximate and may be changed at any time without notice.

2. PROJECT PHASES, SCOPE OF WORK, AND DELIVERABLES

Vendor shall provide services, tools, and staff, and otherwise do all things necessary for or incidental to the performance of work, as set forth below:

2.1. Roles and Responsibilities

2.1.1. Vendor Responsibilities

1. All work and deliverables listed in sections 2.2-2.12.

2.1.2. WAHBE Responsibilities

1. Distribute and complete staff questionnaire
2. Provide assets (logo, branding and style guidelines, collateral material, documents, photos)
3. Communicate content needs
4. Analyze existing documentation and determine which documentation should be migrated to the new site
5. Deploy communication campaign to inform staff of new site
6. Provide the vendor with a point of contact for settling decisions related to final design or any other issues that may arise during the course of the project

2.2. Project Management

2.2.1. Project Management

Vendor will provide services to support site enhancement through: initiating, planning, design, development, testing, implementation, support cutover activities, support of the “go live” process, and stabilization. The Vendor will employ a dedicated project management professional to manage the project in cooperation with WAHBE, which will also employ a dedicated project manager.

2.2.2. Project Management Plan

Vendor will provide a detailed plan describing how the project will be planned, executed, monitored and controlled, and closed. It shall include the project management approach and methodology of the Vendor, project resources, roles and responsibilities, issue and risk management, communications, stakeholder management, change request process, QA process, and monitoring and reporting progress. It shall also provide a detailed list of the necessary work activities employed to complete the project including, but not limited to, planned start and finish dates, resource assignments and allocation, milestones, and deliverables.

2.3. User Research & Assessment

The Vendor will lead user testing and research during an initial discovery phase.

2.3.1. Initial Stakeholder Meetings

Facilitate a kick-off meeting for introductions and discussion of timeline, budget, and goals and success metrics for the project. Facilitate other meetings with the WAHBE SharePoint core team to develop the functional requirements for the landing page and department templates.

2.3.2. Asset Collection and Assessment

Vendor will gather a variety of information from WAHBE via phone meetings, on-site meetings, staff-completed questionnaires, and discussions. At the completion of this phase, Vendor will present the data to WAHBE and then organize the findings into items to be included in initial site specifications. During the initial discovery process, WAHBE will work with the Vendor to determine the scope and breadth of user testing and research.

2.3.3. Analytics Review

Vendor will review the content inventory and website analytics for the existing website to establish a baseline.

2.3.4. Competitive Analysis

Review intranets of similar agencies and industry leaders to determine industry standards.

2.3.5. Employee Survey and Findings

Develop a survey to gather information on staff's perception of the website, how they use it, how often they use it, and what pages/information they share externally with non-WAHBE stakeholders.

2.3.6. Functional requirements

Provide a list of template design requirements based on site owner outreach, WAHBE project manager direction, and the Vendor's expertise.

2.4. Present Research Findings and Wireframe Options

2.4.1. Develop three (3) homepage wireframe concepts

Vendor will develop concepts based on user research (WAHBE employees) showing the information hierarchy (site map), the design, and layout of an intranet home page (landing page) template based on the WAHBE branding.

2.4.2. Theme development

Provide graphic design services and Office 365/SharePoint template and web part knowledge to develop attractive website designs and interfaces that integrate logos, photos, graphics, branding, and identity. You will document customer requirements and obtain acceptance of requirements from purchaser before beginning work. Vendor may use a template designed for use with Office 365/SharePoint or other tools to create the template or theme, which effectively represents WAHBE's brand and image. WAHBE is able to supply many photos, logos in various formats, and images.

2.5. Usability testing

Provide a digital prototype of the homepage and child page wireframes to use in 1:1 facilitated usability testing with a core group of staff from WAHBE. Test objectives include measuring discoverability, task completion, and self-reported ease-of-use. Vendor will provide a report of the frequency of any issues or usability testing feedback and will work with WAHBE to determine the course for changes to the design.

2.6. Design Refinement

2.6.1. Theme design

Finalized screen mockups providing a view to WAHBE of how the homepage, department, and functional pages will look.

2.6.2. Help determine requirements for department/functional grouping based on the user research for sites and subsites below the landing page.

These will include SharePoint page templates, and other template needs. Use requirements and finalized intranet home page design (see 2.5.1) to develop two wireframe concepts to inform the design of a site and subsite page template. Design template with identified web parts to be used within that template to achieve desired functionality. Create template.

2.7. Final Site Development

2.7.1. Functional development

Templates should integrate with, and use, Office 365/SharePoint2013 web parts to meet functional requirements. The home and department templates should not prevent the ability for videos, or other CSS- or html-driven 3rd party tools, to be used on pages. They should also avoid inhibiting SharePoint responsive capabilities. Templates should accommodate accessibility best practices. Vendor will not be expected to customize SharePoint web parts or build custom code to manage functional needs. WAHBE expects to use existing SharePoint web parts to achieve a result as close as possible to desired functionality. The vendor must provide an Office 365/ SharePoint development environment.

2.7.2. Sitemap

How documents, lists will be organized on the site.

2.7.3. Create templates and theme

Create test site with templates, theme, and web parts recommended for testing.

2.7.4. Configure SharePoint's out-of-the-box Team Site templates

Incorporate the intranet's new style across the site, including remaining subpages not addressed by a homepage, department, or functional page template.

2.8. UAT/QA

2.8.1. Testing / QA of new site

Create a quality assurance plan to test the new site.

2.8.2. Quality assurance testing

Test for browser support, operating systems, mobile devices (responsiveness), screen resolutions, assistive technologies, color blindness, text sizing, and ADA accessibility. The vendor is expected to develop in their own environment. Once testing on the Vendor's test environment is performed, the Vendor is expected to provide

implementation support as changes go live on the WAHBE site. Go live activities will occur outside of normal business hours. The Vendor must provide an Office 365/SharePoint QA environment.

2.8.3. Post-testing development and fixes

Provide resolution for significant defects identified during the testing phase. WAHBE will provide user acceptance testing. Test results/deficiencies report with identified issues, current status, priority rating, and estimated completion date.

2.8.4. Quality assurance testing plan

Vendor to detail the testing approach, methodology, and site support provided to WAHBE via e-mail or phone, during normal business hours, so that WAHBE testing can be completed efficiently. Plan shall include testing on the test site as well as the WAHBE SharePoint environment.

2.9. Deployment/ Go Live

2.9.1. Design and implementation of the new site structure

Implement new department sites/pages (up to 12 sites) which may include:

- New workflows
- Integration with other MS products such as CRM/Dynamics as well as ADP
- Single sign-on

2.9.2. Site cutover plan and launch

Create a site cutover and launch plan.

2.9.3. Support go-live activities

Help WAHBE to apply templates to SharePoint site first on a test page, and then to the true homepage. Anticipate homepage work to occur outside of normal work hours.

2.9.4. Production readiness checklist/plan and implementation

Checklist/plan of all items that must be completed or in place before migrating to WAHBE production. Includes cutover and back out strategies as well as stabilization tasks/monitoring activities. Work with WAHBE to transfer all template and theme elements to the WAHBE Office 365/SharePoint environment.

2.9.5. Support communication campaign to inform staff of new site

Provide screenshots, detailed use cases and other project updates that support the WAHBE communications team to provide updates to WAHBE All-Staff and build momentum toward Go Live.

2.10. Staff Training and Governance Committee Establishment

2.10.1. Each training shall include a video of the training session and manual/materials for user reference.

2.10.2. Content Manager Training

To help site-specific content managers understand how to use the new templates and identified web parts, facilitate their use, and drive adoption.

2.10.3. Administrator Training

For purchaser staff on using homepage and department pages features and functions. WAHBE will continue administering and managing the site's content internally. You will be asked to work with our SharePoint administrator to deploy the new features, including assisting with template set-up, describing relevant CSS code and HTML 5, sharing required web part settings, and other elements incorporated into the new templates and features.

2.10.4. Site Owner Training

For purchaser staff on using homepage and department pages features and functions. You will be asked to work with our SharePoint homepage, and core SharePoint resources, to train them on using the templates, setting up the web parts, and understanding of other features incorporated into the new templates.

2.10.5. SharePoint Governance Document & Committee

Work with SharePoint core group and WAHBE to establish a SharePoint Governance document and committee. Create SharePoint RACI matrix.

2.11. Post-Go Live Support

2.11.1. Operating new site for one month

Foster new content for one month after official launch date.

2.11.2. Knowledge transfer/Project close

Provide technical documentation, passwords, developed code, CSS, and close project.

2.11.3. Post Go Live fixes/Support

Work with WAHBE on any issues discovered within a month of moving to production as a result of having moved product into its live environment. Develop report with identified issues, current status, priority rating, and estimated completion date.

2.11.4. Knowledge Transfer

Technical documentation outlining all the implemented templates, applied technologies, CSS code, used web parts, and administrative passwords, as applicable.

2.11.5. Transfer Development Code

Assist WAHBE in transferring all developed code and products to the WAHBE's Team Foundation Server (TFS) vault.

2.12. Project Close

Final signoff on the solution provided by the vendor. Signed by both the vendor and the customer agreeing solution has been delivered to the satisfaction of both parties. Signals the start of maintenance (if applicable).

3. GENERAL INFORMATION

3.1. Communication through RFQQ coordinator

Upon release of this RFQQ, all Vendor communications concerning this solicitation must be directed to the RFQQ Coordinator listed below. If the Vendor communicates with any other employees of the Washington Health Benefit Exchange concerning this RFQQ, unless such communication is otherwise required or allowed by law or written WAHBE policy, WAHBE may disqualify the Vendor from responding to this RFQQ.

Erin Hamilton, CPPB
Health Benefit Exchange
HBE Contracts Office
RE: HBE16-006
Email: contracts@wahbexchange.org

Reponses should be based on the material contained in the RFQQ, any related amendments/addenda, and any questions and written answers directed through the RFQQ Coordinator. All oral communications will be considered unofficial and non-binding on WAHBE. Vendors should rely only on written statements issued by the RFQQ Coordinator or his designee.

3.2. Optional Vendor Conference

WAHBE will conduct an optional vendor conference via “Sykpe for Business”. The vendor conference is optional for entities interested in submitting a proposal. Individuals may submit written questions to the RFQQ Coordinator only prior to and following the vendor conference until the cut-off date for questions noted in Section 1.10 of the RFQQ schedule. Individuals may also ask questions during the vendor conference.

Vendor Conference Call-In Information:

Date: Tuesday, October 11, 2016
Time: 1:00pm PT
Link: <https://meet.lync.com/wahbexchange/labelb/YBNZN1VN>
Phone #: 1-888-850-4523
Passcode: 397078

Verbal responses to questions provided during the vendor conference will be considered unofficial and non-binding. Written responses to vendor questions will be posted as a numbered addendum on Washington’s Electronic Business Solution (WEBS) system and on the WAHBE procurement webpage within a reasonable time after the vendor conference. The name of the vendor that submitted the question(s) will not be identified. Only written responses posted to the WEBS and WAHBE webpage will be considered official and binding.

3.3. Vendors’ Questions and WAHBE Responses

Vendor questions regarding this RFQQ will be accepted until the dates and times specified in RFQQ Section 1.10: *Schedule*. Early submission of questions is encouraged. Vendor questions must be submitted in writing via e-mail to the RFQQ Coordinator at the email address listed in Section 3.1 above.

WAHBE’s official written answers to the Vendor’s questions will be posted to Washington Electronic Business Solution (WEBS) website at <https://fortress.wa.gov/ga/webscust/> Please check WEBS regularly for updates, amendments and other RFQQ addenda.

The Vendor that submitted the questions will not be identified. Verbal responses to questions will be non-binding on WAHBE. Only written responses posted to WEBS and WAHBE’s Procurement Web Site will be considered official and binding.

Vendors are requested to use the following format when submitting their written questions

Question #	Document Name	Section # and Title	Page or Paragraph#	Question

3.4. E-MAIL

E-mail is to be used for all communications required in this RFQQ. WAHBE may also communicate with the Vendor utilizing the same methods. WAHBE will also post any formal communications to WEBS and to the WAHBE Web site at www.wahbexchange.org/about-the-exchange/what-is-the-exchange/vendor-procurements

WAHBE does not take responsibility for any problems in the e-mail or Internet delivery services, either within or outside WAHBE. The Vendor is responsible for ensuring timely and complete delivery of any communications related to this RFQQ.

3.5. PROPRIETARY INFORMATION/PUBLIC DISCLOSURE

WAHBE is subject to Washington State’s Public Records Act (Chapter 42.56 RCW). Vendor’s Response can be disclosed through the process set forth in this section. Portions of a Vendor’s Response may be protected from disclosure through the process set forth in this section. A Vendor cannot restrict its entire Response or entire sections of the Response from disclosure. A Vendor also cannot restrict its pricing from disclosure. Attempts to restrict disclosure using footer on every page to restrict disclosure will not be honored and may require the Vendor to resubmit the Response or subject the Vendor to disqualification. If the Vendor wants to protect any Proprietary Information that is included in its Response from disclosure, the information shall be clearly designated by the Vendor as Proprietary Information. “Proprietary Information” is defined as information owned by Vendor to which Vendor claims a protectable interest under law. Propriety Information may include, but is not limited to, information protected by copyright, patent, trademark, or trade secret laws. To the extent consistent with Chapter 42.56 RCW, the Public Records Act, WAHBE shall maintain the confidentiality of Vendor’s information marked Proprietary Information. If a public disclosure request is made to view Vendor’s Proprietary Information, WAHBE shall notify Vendor of the request and of the date that the Proprietary Information shall be released to the requester unless Vendor obtains a court order enjoining that disclosure. If Vendor fails to obtain a court order enjoining disclosure, WAHBE will release the Proprietary Information on the specified date. WAHBE’s sole responsibility shall be limited to maintaining the Vendor’s identified Proprietary Information in a secure area and to notify Vendor of any request(s) for disclosure for so long as WAHBE retains Vendor’s information in WAHBE records. Failure to label materials as

Proprietary Information or failure to timely respond after notice of a public disclosure request has been given shall be deemed a waiver by Vendor of any claim that such materials are exempt from disclosure. All requests for information should be directed to the RFQQ Coordinator.

3.6. COSTS OF RESPONSE PREPARATION

WAHBE will not pay any Vendor costs associated with preparing or presenting any Response in response to this RFQQ.

3.7. RFQQ Response Property of WAHBE

All materials submitted in response to this solicitation become the property of WAHBE, unless received after the deadline in which case the Response is returned to the sender. WAHBE has the right to use any of the ideas presented in any material offered. Selection or rejection of a Response does not affect this right.

3.8. RECEIPT OF INSUFFICIENT COMPETITIVE RESPONSES

If WAHBE receives insufficient Responses as a result of this RFQQ, WAHBE management reserves the right to select the Vendors which best meet WAHBE's needs.

3.9. WAIVER OF MINOR IRREGULARITIES

Read all instructions carefully. If the Vendor does not comply with any part of this RFQQ, WAHBE may, at its sole option, reject the Vendor's Response as non-responsive. WAHBE reserves the right to waive minor irregularities contained in any Response.

3.10. ERRORS IN RESPONSE

Vendors are liable for all errors or omissions contained in their Responses. Vendors will not be allowed to alter Response documents after the deadline for Response submission. WAHBE is not liable for any errors in Responses. WAHBE reserves the right to contact Vendor for clarification of Response contents.

In those cases, where it is unclear to what extent a requirement or price has been addressed, the evaluation team(s) may, at their discretion and acting through the RFQQ Coordinator, contact a Vendor to clarify specific points in the submitted Response. However, under no circumstances will the responding Vendor be allowed to make changes to the proposed items after the deadline stated for receipt of Responses.

3.11. RFQQ AMENDMENTS

WAHBE reserves the right to amend this RFQQ. Amendments and all communications regarding this RFQQ will be posted to the Washington Electronic Business Solution (WEBS) website at <https://fortress.wa.gov/ga/webscust/>

If a conflict exists between amendments, or between an amendment and the RFQQ, the document issued last shall take precedence. The published Vendors' questions and WAHBE's official answers are an amendment to the RFQQ.

3.12. WITHDRAWAL OF RESPONSE

Vendors may withdraw a Response that has been submitted at any time up to the Response due date and time (identified in Section 1.10: *Schedule*). To accomplish Response withdrawal, a written request signed by an authorized representative of Vendor must be submitted to the RFQQ Coordinator. After withdrawing a previously submitted Response, Vendor may submit another Response at any time up to the Response submission due date and time.

3.13. RIGHT TO CANCEL

With respect to all or part of this RFQQ, WAHBE reserves the right to cancel or reissue at any time without obligation or liability.

3.14. RIGHT TO REJECT ALL RESPONSES

WAHBE may, at any time and at its sole discretion and without penalty, reject any and all Responses and issue no contract as a result of this RFQQ.

3.15. AUTHORITY TO BIND WAHBE

WAHBE Chief Executive Officer and WAHBE Chief Executive Officer's designees are the only persons who may legally commit WAHBE to any contracts. The Contractor shall not incur, and WAHBE shall not pay, any costs incurred before a Contract and authorizing Work Order are fully executed.

3.16. CONTRACT EXECUTION

The Apparently Successful Vendor will be expected to sign a contract which is substantially the same as the contract included in this RFQQ as Attachment 1. The contract will also incorporate this RFQQ and the successful response.

Either party may propose additional contract terms and conditions during negotiation of the final contract. However, proposed language alternate to the attached Sample Contract (see Attachment 1) must be included in your Letter of Submittal. You may not substitute your contract for the HBE contract.

If the Apparently Successful Vendor refuses to sign the final contract within ten (10) calendar days of delivery, HBE may revoke the award and award the contract to the next-highest-ranked Vendor.

3.17. STATEMENT OF WORK

The Statement of Work is a description of the work which the Contractor will perform, including but not limited to deliverables, outcomes, schedule, and price, capacity, and staff resources. The initial Statement of Work is incorporated in the contract by reference. Additional Statements of Work, unique to each assignment, may be added to and incorporated in the contract by amendment. More than one Statement of Work may be active in any given period.

4. INSTRUCTIONS TO VENDORS

Vendors must follow these instructions exactly or their Response may be deemed non-responsive.

4.1. RESPONSE FORMAT

Responses must provide complete, concise information regarding the Vendor's experience and ability to provide the skill sets requested.

- 4.1.1. The Letter of Transmittal and RFQQ responses are to be submitted via email in unrestricted Word, Excel or "Pdf" format.
- 4.1.2. State responding organization's name on the first page of all RFQQ responses.
- 4.1.3. Pages are to be formatted as standard 8.5" x 11" white paper. Font size can be no less than 11 point. Margins can be no less than 1 inch. Each page must be numbered.
- 4.1.4. Figures and tables must be numbered and referenced in the text of the Response by that number. Foldouts containing charts, spreadsheets, and oversize exhibits are permissible.
- 4.1.5. The Response, as well as any reference materials presented by Vendor, must be written in English and Vendor must provide all rates in United States dollars.
- 4.1.6. Write the RFQQ response in the order given in Section 4.2.1 through 4.2.8. Title and number each item in the same way it appears instructions.
- 4.1.7. The Vendor must respond to every element, except where otherwise stated. Responses must provide complete, concise information regarding the Vendor's experience and ability to provide the skill sets requested.

4.2. RESPONSE CONTENTS

Responses must contain all the following elements, in the order given, to be considered responsive:

4.2.1. Letter of Transmittal (Mandatory, Pass/Fail)

A Letter of Transmittal should be prepared on Vendor letterhead and signed by an individual who is authorized to commit the Vendor to the services and requirements as stated in this RFQQ. The Letter of Transmittal must be submitted as a separate document and include, in the order given:

- 4.2.1.1. Identifying information about the Vendor to include the following:
 - The Vendor's business name, address, telephone number, email address (if any), and fax number.
 - The legal status of the bidding entity (sole proprietorship, partnership, corporation, etc.) and the year the entity was organized as it now substantially exists.
 - The name, address, email address and telephone number of any sole proprietor, and of the partners or principal officers, as appropriate.
 - The name, telephone number, and email of the person who will have primary contact with the Health Benefit Exchange in carrying out the responsibilities of this Contract.
 - The name(s) and titles, and contact information of all persons authorized to speak on behalf of the Vendor on matters related to this procurement.

- The name and address of the entity that receives legal notices for the Vendor.
- 4.2.1.2.** Provide a statement affirming that by submitting a response to this solicitation, the Vendor and its key subcontractors represent that they are not in arrears in the payment of any obligations due and owing the State of Washington, including the payment of taxes and employee benefits, and that it shall not become in arrears during the term of the Contract if selected for Contract award.
- 4.2.1.3.** The Vendor's Washington Uniform Business Identification (UBI) number. The Vendor must be licensed to do business in the state of Washington before any resulting Contract is executed. Provide the Vendor organization's UBI number issued by the Washington State Department of Licensing or an affirmation that the organization will obtain a business license before executing a Contract.
- 4.2.1.4.** State Vendor's Federal Employer Tax Identification Number. If Vendor is a sole proprietor, they may use the following format when submitting the Federal ID number: xxx-xx-1234. For the "1234", please use the last 4-digits of Vendor's social security number. If the Vendor is selected as a successful Vendor, WAHBE will send a W-9 to obtain the complete Federal ID/SSN number.
- 4.2.1.5.** If the Vendor or any subcontractor contracted with the state of Washington during the past 24 months, indicate the name of the agency, the contract number and Project description and/or other information available to identify the contract.
- 4.2.1.6.** Conflict of Interest information:
- If any of the Vendor's or subcontractor's employees or officers were employed by WAHBE or the state of Washington during the last two years, state their positions within the organization, their proposed duties under any resulting Contract, their duties and position during their employment with WAHBE or the state, and the date of their termination from WAHBE/state employment.
 - If any owner, key officer, or key employee of the Vendor is related by blood or marriage to any employee of WAHBE or has a close personal relationship to same, identify all the parties, identify their current or proposed positions, and describe the nature of the relationship.
 - Vendor must disclose if they have a business relationship with any current major WAHBE contractor.
 - If the Vendor is aware of any other real or potential conflict of interest, the Vendor must fully disclose the nature and circumstances of such potential conflict of interest. If, after review of the information provided and the situation, WAHBE determines that a potential conflict of interest exists, it may, at its sole option, disqualify the Vendor from participating in this procurement. Failure to fully disclose any real or potential conflict of interest may result in the disqualification of the Vendor or the Termination for Default of any contract with the Vendor resulting from this procurement with the Vendor.
- 4.2.1.7.** Vendors must indicate whether they have had a contract terminated for default in the last five years. Termination for Default is defined as a notice to stop work due to the Vendor's nonperformance or poor performance, where the issue of performance was either not litigated due to inaction on the part of the Vendor, or litigated and determined that the Vendor was in default.

- 4.2.1.8. If the Vendor has had a contract terminated for default in the last five years, the Vendor must submit full details including the other party's name, address, and telephone number. The Vendor must specifically grant WAHBE permission to contact any and all involved parties and access any and all information WAHBE determines is necessary to satisfy its investigation of the termination. WAHBE will evaluate the circumstances of the termination and may at its sole discretion, bar the participation of the Vendor in this procurement.
- 4.2.1.9. The page numbers and names of any Response elements being claimed as "Proprietary" or "Confidential" (see Section 3.5). Include an explanation for each claim of confidentiality.
- 4.2.1.10. Any alternate contract language the Vendor wishes to propose (see section **Error! Reference source not found.**). If alternate contract language is longer than one page, attach it to your Letter of Submittal as a separate document. If none is proposed, it will be assumed that the contract will be accepted without change.
- 4.2.1.11. A list of all RFQQ amendments received by amendment issue date. If no RFQQ amendments were received, write a statement to that effect. Vendor questions/ WAHBE responses are considered an amendment to the RFQQ.
- 4.2.1.12. A detailed list of all materials and enclosures being sent in the Response.

4.2.2. Certifications and Assurances (Mandatory, Pass/Fail)

Certifications and Assurances (Exhibit A) signed by a person authorized to bind the Vendor to a contract.

4.2.3. References (Mandatory; Pass/Fail)

Include three (3) Business References for the Vendor/Firm. List names, addresses, telephone numbers, and e-mail addresses of three (3) business references for which the firm has provided professional staff services similar to those required by WAHBE. Describe the type of services provided, project duration, and primary contact person.

Please confirm that the reference clients are aware that WAHBE may contact them. By submission of the references, the Vendor grants permission to WAHBE to contact the references and others who may have pertinent information. Do not include current WAHBE staff as references. WAHBE may evaluate additional references at WAHBE's discretion.

The Vendor and any staff proposed through a Statement of Work to provide services must grant permission to WAHBE to contact references, and others for whom services have been provided. Vendor References will be contacted and scored for the top-ranking response(s) only.

4.2.4. Written Summary of Qualifications and Experience of Vendor (Mandatory, Scored)

The Vendor shall provide a written non-technical summary of the Vendor's/Firm's overall corporate background and experience as it relates to providing services specified herein. The response must demonstrate proven ability to identify and make available resources

and candidates as described in this RFQQ. This summary should be no more than ten (10) pages and at minimum, address the following:

- 4.2.4.1. What is the Vendor's overall experience redesigning SharePoint sites for organizations?
- 4.2.4.2. What is the Vendor's approach to delivering SharePoint design, information hierarchy and implementation?
- 4.2.4.3. What is the Vendor's approach to knowledge transition, management, and training?
- 4.2.4.4. Describe how the Vendor resolves issues during the project. For example, design disagreements and critical next steps.
- 4.2.4.5. Demonstrate your use of industry best practices and compliance.
- 4.2.4.6. Describe the Vendor's experience with Office 365/SharePoint projects.

Include a list of contracts the Vendor has had during the last five (5) years that relate to the Vendor's ability to provide the services under this RFQQ. Describe no more than two (2) similar Projects where Web Content Management System integration activities were provided by the Vendor, preferably in a comparable industry such as a Healthcare or Banking institution. Include the outcomes and deliverables achieved for the customer. List each contract reference numbers, the contract period of performance, contact persons, addresses, telephone numbers, and e-mail addresses for each contract.

4.2.5. Work Plan (Mandatory; Scored)

The Vendor will provide a high level work plan that specifies the work necessary to complete the implementation of the Web Content Management System. The work plan will identify the tasks, timeframes, and key personnel for each task, and highlight key milestones. The work plan must contain, at minimum, the scope of work and deliverables identified in Section 2. Otherwise, the Vendor must specify why it is preferable to use different deliverables. The work plan submitted by the Vendor may be included in the contract pursuant to this RFQQ at the discretion of WAHBE.

4.2.6. Training Plan (Mandatory; Scored)

The Vendor shall describe the training curriculum and plan to train WAHBE staff how to use the SharePoint site. Develop a training curriculum for the various site users and administrators as described in sections 2.10.1 through 2.10.4.

4.2.7. Experience and Qualifications of Proposed Team and Lead (Mandatory; Scored)

- 4.2.7.1. Describe, in two (2) pages or less, the abilities and expertise of the proposed design, project management and Installation team that best qualifies the team to successfully implement refreshed SharePoint sites as described herein.

Describe the lead person's past experience with emphasis on consulting which may relate to the services described herein. Include a list of contracts the accountable person has performed during the last five years that relate to the ability to provide services similar to those required under this RFQQ. Briefly

describe no more than two of these projects, preferably in Washington State, and if the work was performed in a public, private, or private/public setting.

- 4.2.7.2.** Provide resumes (two pages or less) for the lead person and other key personnel who comprise the Contractor's proposed design project management and installation team. The Resumes should provide a non-technical summary of the team's overall background and skills as it relates to providing services specified herein. This narrative should demonstrate proven ability to provide the successful design and installation of an Office 365/SharePoint home page and subsites for WAHBE and must include the following information:
- Name, Title
 - Education, Degrees, Certificates.
 - Description of specific or unique consulting skills
- 4.2.7.3.** Provide two (2) References for the lead person, with contact information and a brief description of the type of services provided. By submission of the resumes the Vendor grants permission to WAHBE to contact the references and others who may have pertinent information. References will be checked at the option of WAHBE.
- 4.2.7.4.** The Vendor is to include in their cost proposal all direct and indirect costs associated with completing the project as described in Section 2 "Scope and Deliverables" including, but not limited to: administrative; facilities; travel; labor; licenses; and taxes. No additional charges for other expenses shall be allowed.
- 4.2.7.5.** For any additional work that may be authorized under the resulting contract, associated Statements of Work (SOW) or Change Orders, the Vendor must state the Staff Level, Title, and the Hourly Rate that will be charged for Vendor staff. This rate will not be a factor in the selection of the Apparently Successful Vendor, however no higher rates may be charged under the resulting contract. If selected as the Contractor, lower rates may be quoted or negotiated for any additional SOW's. HBE additionally reserves the right to discuss fixed-price deliverable based SOW's. On an exception basis included in an executed SOW, travel reimbursement may be authorized in accordance with the Washington Health Benefit Exchange travel policy.
- 4.2.7.6.** At the discretion of WAHBE, there may be an addendum resulting from this RFQQ to provide intranet site maintenance services. Please provide intranet site maintenance hourly rate(s), which may include multiple categories, for services to make site changes/upgrades as WAHBE business needs change, troubleshoot "bugs" that may show up over time, and provide site administrator support. This rate will not be a factor in the selection of the Apparently Successful Vendor, however no higher rates may be charged under the resulting contract. If selected as the Contractor, lower rates may be quoted or negotiated.

4.3. Delivery of Responses

Email Response(s) to:
Erin Hamilton, CPPB
RFQQ Coordinator
Health Benefit Exchange
HBE Contracts Office
RE: HBE16-006
Email: contracts@wahbexchange.org

The Response must arrive at WAHBE, to the RFQQ Coordinator, no later than 3:00pm, PST, on the Response Due Date stated in the Procurement Schedule in Section 1.10.

Late Responses will not be accepted and will automatically be disqualified from further consideration.

WAHBE does not take responsibility for any problems in the email delivery services. The responding Vendor is responsible for ensuring delivery in accordance with the specifications in this RFQQ. Transmission of the Response to any other email address is not equivalent to receipt by WAHBE.

5. SCREENING, EVALUATION, AND AWARD

5.1. Administrative Screening

Administrative Screening occurs with initial submission of the RFQQ response documents. The RFQQ Coordinator will review responses (including attachments) on a pass/fail basis for compliance with RFQQ Administrative requirements. WAHBE reserves the right to ask for clarification of any information contained in the submittal (including attachments). Non-responsive RFQQ responses will be eliminated from further evaluation. Evaluation teams will only evaluate Responses meeting all administrative requirements.

5.2. Evaluation Process

5.2.1. Review of Mandatory Requirements

Evaluators will score all RFQQ responses that pass the review of mandatory requirements. The evaluators will consider how well each RFQQ response communicates the Vendor's experience, capacity, and ability to meet the needs of HBE. It is important that the RFQQ response be clear and complete. RFQQ responses that do not meet a mandatory requirement will be rejected as non-responsive.

In those cases where it is unclear to what extent a requirement has been addressed, the evaluation team(s) may, at their discretion and acting through the RFQQ Coordinator, contact a Vendor to clarify specific points in the submitted Response. However, under no circumstances will the responding Vendor be allowed to make changes to their submittal after the deadline stated for receipt of Responses.

The HBE reserves the right to determine at its sole discretion whether Vendor's response to Mandatory requirements is sufficient to pass. If, however, all responding Vendors fail to meet any single Mandatory item, the HBE reserves the following options: (1) cancel the procurement, or (2) revise the Mandatory item unless HBE determines that it is in its best interest to eliminate that mandatory requirement for all Vendors.

5.2.2. Proposal Scoring

Evaluators will assign points based upon the Vendor's response to scored elements of Section 4.2. Evaluators will score each element up to the maximum number of points listed below. All evaluator scores will then be averaged for the final score.

Cost will be scored based on the Vendor's response to 4.2.8, with the lowest overall cost presented receiving the highest cost score.

References will be contacted for the top scoring Vendors only. WAHBE reserves the right to check additional references.

Top scoring finalists from the written evaluation phase will be moved forward to provide in-person oral presentations.

SCORED ELEMENT	MAXIMUM POSSIBLE POINTS
Cost Proposal	50 points
Written Summary of Qualifications and Experience of Installation Team and Lead	20 points
Work Plan	15 points
Training Plan	10 points
Written Summary of Qualifications and Experience of Vendor/Firm	5 points
Final Score	100 points

5.2.3. Oral Presentations

Oral presentations will be used in selecting the winning response(s). WAHBE will contact the top-scoring Vendors from the written evaluation phase to schedule a date, time, and location. Commitments made by the Vendor at the oral interview, if any, will be considered binding.

Evaluators will independently score the oral presentations and will meet after the oral presentations to reach a consensus score. The scores from the written responses will not carry forward. The results of the oral presentations shall determine the Apparently Successful Vendor.

5.3. Award

5.3.1. Selection

The Apparent Successful Vendor (ASV) will be the Vendor who: (1) meets all the requirements of this RFQQ; (2) is one of the top scoring finalists as described in Section 5.2.2.; and (3) receives the highest consensus score from oral presentations as described in Section 5.2.3.

5.3.2. Notice of Award

WAHBE will notify all Vendors who submit a Response of the selection of the Apparently Successful Vendor.

5.4. Optional Vendor Debriefing

Only Vendors who submit a Response may request an optional debriefing conference to discuss the evaluation of their Response. The requested debriefing conference shall occur on or before the date specified in Section 2: *Schedule*. The request shall be in writing (e-mail acceptable) addressed to the RFQQ Coordinator.

The optional debriefing will not include any comparison between Vendor's Response and any other Responses submitted. However, WAHBE will discuss the factors considered in the evaluation of the requesting Vendor's Response and address questions and concerns about Vendor's performance with regard to the solicitation requirements. The debriefing conference may take place in-person or by telephone.

A Vendor may submit a protest only after a debriefing conference has been both requested and held with that Vendor.

5.5. Protest Procedures

Vendors who have submitted a Response to this solicitation and have had a debriefing conference may make protests. Upon completion of the debriefing conference, a Vendor is allowed five (5) Business Days to file a formal protest of the solicitation with the RFQQ Coordinator.

5.5.1. Grounds for Protest

Protests may be made after WAHBE has announced the Apparently Successful Vendor (ASV) and after the protesting vendor has had a debriefing conference with WAHBE. Protests may be made on only these grounds:

- Arithmetic errors were made in computing the score.
- WAHBE failed to follow procedures established in the solicitation document, or applicable state or federal laws or regulations; or
- There was bias, discrimination, or conflict of interest on the part of an evaluator.

5.5.2. Protest Process

5.5.2.1. Procedure

This protest procedure is available to Vendors who submitted a Response to this solicitation and have received a debriefing conference.

Protests are made to WAHBE after WAHBE has announced the ASV. Vendor protests shall be received, in writing, by WAHBE within five (5) Business Days after the Vendor debriefing conference.

5.5.2.2. Grounds for protest

Only protests based on the criteria listed below will be considered:

- Arithmetic errors were made in computing the score;
- WAHBE failed to follow procedures established in the solicitation document, or applicable state or federal laws or regulations; or
- There was bias, discrimination or conflict of interest on the part of an evaluator.

5.5.2.3. Format and Content

Vendors making a protest shall include in their written protest to WAHBE all facts and arguments upon which the Vendor relies, and shall be signed by a person authorized to bind the vendor to a contractual relationship. Vendors shall, at a minimum, provide:

- Information about the protesting Vendor; name of firm, mailing address, phone number and name of individual responsible for submission of the protest;
- Information about the acquisition; WAHBE reference number (HBE13-014), acquisition method, WAHBE Coordinator;
- Specific and complete statement of WAHBE action(s) being protested;
- Specific reference to the grounds for the protest; and
- Description of the relief or corrective action requested.

5.5.2.4. WAHBE Review Process

Upon receipt of a Vendor's protest, WAHBE will postpone signing a Contract with the ASV until the Vendor protest has been resolved.

WAHBE will perform an objective review of the protest, by individuals not involved in the acquisition process being protested. The review shall be based on the written protest material submitted by the Vendor and all other relevant facts known to WAHBE.

WAHBE will render a written decision to the Vendor within five (5) Business Days after receipt of the Vendor protest, unless more time is needed. The protesting Vendor shall be notified if additional time is necessary.

5.5.2.5. WAHBE Determination

The final determination shall result in one of the following:

- Find the protest lacking in merit and uphold WAHBE's action; or
- Find only technical or harmless errors in WAHBE's acquisition process conduct, determine WAHBE to be in substantial compliance, and reject the protest; or
- Find merit in the protest and provide WAHBE with options that may include:
 - Correct errors and reevaluate all Responses; or
 - Reissue the solicitation document; or
 - Make other findings and determine other courses of action as appropriate
- Not require WAHBE to award the Contract to the protesting party or any other Vendor, regardless of the outcome.

The resulting decision is final; no further administrative appeal is available.

6. EXHIBITS and ATTACHMENTS

EXHIBIT A – CERTIFICATIONS AND ASSURANCES (MANDATORY)

EXHIBIT B – COST PROPOSAL WORKSHEET (MANDATORY)

ATTACHMENT 1 – SAMPLE CONTRACT

EXHIBIT A
CERTIFICATIONS AND ASSURANCES

Issued by the Washington Health Benefit Exchange

We make the following certifications and assurances as a required element of the Response, to which it is attached, affirming the truthfulness of the facts declared here and acknowledging that the continuing compliance with these statements and all requirements of the RFQQ are conditions precedent to the award or continuation of the resulting Contract.

The prices in this Response have been arrived at independently, without, for the purpose of restricting competition, any consultation, communication, or agreement with any other offer or competitor relating to (i) those prices, (ii) the intention to submit an offer, or (iii) the methods or factors used to calculate the prices offered. The prices in this Response have not been and will not be knowingly disclosed by the offer, directly or indirectly, to any other offer or competitor before Contract award unless otherwise required by law. No attempt has been made or will be made by the offer to induce any other concern to submit or not to submit an offer for the purpose of restricting competition. However, we may freely join with other persons or organizations for the purpose of presenting a single Response or bid.

The attached Response is a firm offer for a period of 120 days following the Response Due Date specified in the RFQQ, and it may be accepted by WAHBE without further negotiation (except where obviously required by lack of certainty in key terms) at any time within the 120-day period. In the case of protest, our Response will remain valid for 180 days or until the protest is resolved, whichever is later.

In preparing this Response, we have not been assisted by any current or former employee of the Washington Health Benefit Exchange or the state of Washington whose duties relate (or did relate) to WAHBE's solicitation, or prospective Contract, and who was assisting in other than his or her official, public capacity. Neither does such a person nor any member of his or her immediate family have any financial interest in the outcome of this Response. (Any exceptions to these assurances are described in full detail on a separate page and attached to this document.)

We understand that WAHBE will not reimburse us for any costs incurred in the preparation of this Response. All Responses become the property of WAHBE, and we claim no proprietary right to the ideas, writings, items or samples unless so stated in the Response. Submission of the attached Response constitutes an acceptance of the evaluation criteria and an agreement to abide by the procedures and all other administrative requirements described in the solicitation document.

We understand that any Contract awarded as a result of this Response will incorporate all the solicitation requirements. Submission of a Response and execution of this Certifications and Assurances document certify our willingness to comply with the Contract terms and conditions appearing in Attachment 2, or substantially similar terms, if selected as a contractor. It is further understood that our standard contract will not be considered as a replacement for the terms and conditions appearing in Attachment 2 of this solicitation.

We (circle one) **are** / **are not** submitting proposed Contract exceptions (see Subsection **Error! Reference source not found.**: *Contract Requirements*).

Vendor Signature

Vendor Company Name

Title

Date

EXHIBIT B

COST PROPOSAL WORKSHEET

- The Vendor is to include in their cost proposal all direct and indirect costs associated with completing the project as described in Section 2 “Scope and Deliverables” including, but no limited to: administrative; facilities; travel; labor; licenses; and taxes. No additional charges for other expenses shall be allowed. Provide a proposed cost breakdown and total cost for this project:

Phase	RFQQ Section Reference	Anticipated Hours to Complete	Proposed Cost to Complete
Project Management and Research	2.2 through 2.3		\$
Design	2.4 through 2.6		\$
Development	2.7		\$
Testing	2.8		\$
Training and Go-Live	2.9 through 2.10		\$
Post Go-Live Support	2.11		\$
Total Project (sum of above phases)			\$

- For any additional work that may be authorized under the resulting contract, associated Statements of Work (SOW) or Change Orders, the Vendor must state the Staff Level, Title and the Hourly Rate that will be charged for Vendor staff.

	Staff Level/Title/Function	Hourly Rate
1		\$
2		\$
3		\$
4		\$

*Add additional rows as necessary

- At the discretion of WAHBE, there may be an addendum resulting from this RFQQ to provide intranet site maintenance services. Please provide intranet site maintenance hourly rate(s), which may include multiple categories, for services to make site changes/upgrades as WAHBE business needs change, troubleshoot “bugs” that may show up over time, and provide site administrator support.

	Maintenance Level/Service/Category	Hourly Rate
1		\$
2		\$
3		\$
4		\$

*Add additional rows as necessary

ATTACHMENT A

**CONTRACT FOR SHAREPOINT SERVICES
BETWEEN
WASHINGTON HEALTH BENEFIT EXCHANGE
AND**

This Contract is made and entered into by and between the Washington Health Benefit Exchange hereinafter referred to as the "WAHBE" or "Exchange", and the below named firm, hereinafter referred to as "Contractor,"

(contractor name)
(address)
(city, state zip)
Phone:
FAX:
Email:
WA State UBI Number:

1. IT IS MUTUALLY AGREED THAT:

The purpose of this contract is to provide the Washington Health Benefit Exchange with Office 365 SharePoint expertise to provide design services for a WAHBE branded and customized enterprise intranet website. services to meet needs of the Washington Health Benefit Exchange. The Contractor will perform the WAHBE responsibilities and duties, as defined in Statements of Work, throughout the term of the resulting Contract.

2. SPECIAL TERMS AND CONDITIONS

Under no circumstances will contractors perform any work until this Contract and any subsequent Statement of Work (SOW) have been fully executed. Any work performed without a properly executed Contract, Statement of Work, or amendment will be at the Contractor's risk. WAHBE is under no obligation to pay for work performed without properly executed authorization.

3. SCOPE OF WORK

- A. Exhibit A, attached hereto and incorporated by reference, contains the *General Terms and Conditions* governing work to be performed under this contract, the nature of the working relationship between WAHBE and the CONTRACTOR, and specific obligations of both parties.
- B. The CONTRACTOR will provide services and staff, and otherwise do all things necessary for or incidental to the performance of work, as set forth in Exhibit D, Statement of Work, attached hereto and incorporated by reference herein and any other Statement of Work entered into by the parties under this Contract.

All written reports and invoices required under this Contract must be delivered to the Contract Manager, in accordance with the schedule in Exhibit D, Statement of Work.

ATTACHMENT A

4. **PERIOD OF PERFORMANCE**

The period of performance under this Contract will be from **February 1, 2017**, or from the Date of execution, whichever is later, through **September 1, 2017**, unless sooner terminated as provided herein. No billable activity may take place until this Contract has been signed by both parties. WAHBE may extend this Contract through **June 30, 2020** in whatever time increments WAHBE deems appropriate. Notwithstanding the foregoing, no extension of this Contract will extend the Statement of Work, which may only be changed by written agreement of both parties.

5. **PRICING AND ADJUSTMENT**

Unless otherwise stipulated prices quoted shall not be subject to increase throughout the initial contract period. Should the WAHBE decide to extend the Contract for an additional year(s) rates will be negotiated for adjustments in pricing for any subsequent terms, however, rate increases may not exceed 5 percent.

6. **COMPENSATION**

The Maximum Not-To-Exceed Compensation, which includes any allowable expenses, payable to the Contractor for satisfactory performance of the work under this Contract shall not exceed _____ Dollars (\$_____). In no event shall the cost or payment exceed the Maximum Not-to-Exceed Compensation associated with this Contract.

Contractor's compensation for services rendered shall be based on the following rates or in accordance with the following terms:

OR

Rates and/or deliverables identified in Exhibit D, Statement of Work

A table of vendor's rates may follow:

The Statement of Work details maximum payment amounts per Activity. These amounts may not be exceeded without prior written approval from the HBE Contract Manager.

Expenses

Travel or per diem to or from the Olympia Washington area will not be authorized. Under special circumstances, Contractor may receive reimbursement for travel and other expenses as authorized in advance by WAHBE as reimbursable and stated in the Statement of Work.

Such expenses may include airfare (economy or coach class only), other transportation expenses, and lodging and subsistence necessary during periods of required travel. CONTRACTOR shall receive compensation for travel expenses at current Washington Health Benefit Exchange travel reimbursement rates. To receive reimbursement, Contractor must provide a detailed breakdown of authorized expenses, identifying what was expended and when.

Federal funds disbursed through this Contract were received by the State of Washington through OMB Catalogue of Federal Domestic Assistance Number: 93.525. Contractor agrees to comply with applicable rules and regulations associated with these federal funds. Note: WAHBE has designated this Contractor as a "Vendor".

Contractor and WAHBE agree that timely completion by Contractor of all Work and delivery of any Work Products is critical, and no additional compensation shall be paid unless the Statement of Work (Exhibit D) under the Contract is expanded by written amendment executed by authorized

ATTACHMENT A

representatives of the Contractor and WAHBE. WAHBE shall make payment to the Contractor upon receipt and acceptance of specified deliverables and accompanying properly executed invoices.

7. **INVOICES AND BILLING PROCEDURES**

WAHBE will pay Contractor upon receipt of properly completed invoices, which shall be submitted to the Project Manager not more often than monthly. If invoices are submitted less frequently than monthly, WAHBE may request monthly estimate of fees incurred.

NOTE: Payment can also be based upon satisfactory acceptance of each deliverable, payment after completion of each major part of the Contract, payment at conclusion of the Contract, etc.

The invoices shall describe and document to the EXCHANGE's satisfaction a description of work performed, the progress of the project, and fees. The invoice shall include the Contract reference number HBE-XXX. If pre-approved expenses are invoiced, a detailed breakdown of each type must be provided. Any single expense in the amount of \$50.00 or more must be accompanied by a receipt in order to receive reimbursement.

All invoices must be submitted to the Project Manager, and must meet with the approval of the Project Manager or his/her designee prior to payment. The Project Manager is responsible for review of invoices submitted and authorize contractor payments, which such authorization shall not be unreasonably withheld. The review shall ensure the authorized amount is not exceeded and reviewed by the Finance Team to assure that the dollar limit established by this Contract will not be exceeded by the sum of all Work Orders executed.

Contractor shall only submit invoices for services or deliverables as permitted by this section of the Contract. WAHBE will return incorrect or incomplete invoices to the Contractor for correction and reissue. The Contract number must appear on all invoices, bills of lading, packages, and correspondence relating to this Contract. Invoices must reference this Contract number and provide detailed information as requested by EXCHANGE. If the Statement of Work specifies multiple deliverables, description of work shall specify the applicable deliverable number, and completion date.

Payment shall be considered timely if made by WAHBE within thirty (30) calendar days after receipt of properly completed invoices. Upon expiration of the Contract, any claims for payment for costs due and payable under this Contract that are incurred prior to the expiration date must be submitted by the Contractor to WAHBE within 60 days after the Contract expiration date. Belated claims shall be paid at the discretion of WAHBE and are contingent upon the availability of funds.

Payment shall be sent to the address designated by the Contractor, unless WAHBE has opted to use electronic fund transfer.

WAHBE may, in its sole discretion, terminate this Contract or withhold payments claimed by the Contractor for services rendered if the Contractor fails to satisfactorily comply with any term or condition of this Contract.

No payments in advance or in anticipation of services or supplies to be provided under this Contract shall be made by the EXCHANGE.

8. CONTRACT MANAGEMENT

The Contract Manager for each of the parties shall be the contact person for all communications and billings regarding the performance of this Contract.

Contract Manager for Contractor is:

(CONTRACT MANAGER NAME)
(ADDRESS)
(CITY, STATE ZIP)
Phone:
FAX:
Email:

Contract Manager for EXCHANGE is:

Ben LaBelle
WA Health Benefit Exchange
810 Jefferson Street (mailing address-Post Office Box 657, Olympia, WA 98507)
Olympia, WA 98504
Phone: (360) 688-7890
E-mail address: Benjamin.Labelle@wahbexchange.org

Any notice or other communication required to be given under this Contract shall be effective if it is in writing, properly addressed, and either delivered in person, or by a recognized courier service, or deposited with the United States Postal Service as certified mail, postage prepaid, return receipt requested, or by electronic mail (email), to the parties at the addresses and e-mail addresses provided in this Section.

9. ASSURANCES

WAHBE and the Contractor agree that all activity pursuant to this Contract will be in accordance with all the applicable current federal, state and local laws, rules, and regulations.

10. ORDER OF PRECEDENCE

Each of the Exhibits listed below is by this reference hereby incorporated into this Contract. In the event of an inconsistency in this Contract, the inconsistency shall be resolved by giving precedence in the following order:

- Applicable Federal and State of Washington statutes and regulations
- Special terms and conditions as contained in this basic Contract instrument
- Exhibit A – General Terms and Conditions
- Exhibit B – Federal Certifications and Assurances
- Exhibit C - Data Security Requirements
- Exhibit D – Statement of Work
- RFQQ 16-006 dated October 4, 2016
- Contractor’s Response to RFQQ 16-006 dated _____
- Any other provision, term or material incorporated herein by reference or otherwise incorporated

ATTACHMENT A

11. ENTIRE AGREEMENT

This Contract including referenced exhibits represents all the terms and conditions agreed upon by the parties. No other understandings or representations, oral or otherwise, regarding the subject matter of this Contract shall be deemed to exist or to bind any of the parties hereto.

12. CONFORMANCE

If any provision of this Contract violates any statute or rule of law of the State of Washington, it is considered modified to conform to that statute or rule of law.

13. APPROVAL

This Contract shall be subject to the written approval of WAHBE's authorized representative and shall not be binding until so approved. The Contract may be altered, amended, or waived only by a written amendment executed by both parties.

THIS CONTRACT, consisting of 5 pages and 6 attachments, is executed by the persons signing below who warrant that they have the authority to execute the Contract.

CONTRACTOR

WASHINGTON HEALTH BENEFIT EXCHANGE

Signature

Signature

Title

Date

Title

Date

ATTACHMENT A

**EXHIBIT A
GENERAL TERMS AND CONDITIONS**

1. DEFINITIONS

As used throughout this Contract, the following terms shall have the meaning set forth below:

- A. "AGENT" shall mean the Chief Executive Officer (CEO) of the EXCHANGE, and/or the delegate authorized in writing to act on the CEO's behalf.
- B. "CONTRACTOR" shall mean that firm, provider, organization, individual or other entity performing service(s) under this Contract, and shall include all employees of the CONTRACTOR.
- C. "EXCHANGE" shall mean the Washington Health Benefit Exchange, any division, section, office, unit or other entity of the EXCHANGE, or any of the officers or other officials lawfully representing the EXCHANGE.
- D. "SUBCONTRACTOR" shall mean one not in the employment of the CONTRACTOR, who is performing all or part of those services under this Contract or under a separate contract with the CONTRACTOR. The terms "SUBCONTRACTOR" and "SUBCONTRACTORS" means SUBCONTRACTOR(s) in any tier.

2. ADVANCE PAYMENTS PROHIBITED

No payments in advance of or in anticipation of goods or services to be provided under this Contract shall be made by the EXCHANGE.

3. AMENDMENTS

This Contract may be amended by mutual agreement of the parties. Such amendments shall not be binding unless they are in writing and signed by personnel authorized to bind each of the parties.

4. AMERICANS WITH DISABILITIES ACT (ADA) OF 1990, PUBLIC LAW 101-336, also referred to as the "ADA" 28 CFR Part 35

The CONTRACTOR must comply with the ADA, which provides comprehensive civil rights protection to individuals with disabilities in the areas of employment, public accommodations, state and local government services, and telecommunications.

5. ASSIGNMENT

Neither this contract, nor any claim arising under this contract, shall be transferred or assigned by the CONTRACTOR without prior written consent of the EXCHANGE. WAHBE may assign this Contract to WAHBE Board established by chapter 43.71 RCW, or any public agency, commission, board, or the like, within the political boundaries of the State of Washington.

6. ATTORNEYS' FEES

In the event of litigation or other action brought to enforce Contract terms, each party agrees to bear its own attorney fees and costs.

7. Background and Reference Checks

Due to the confidential nature of the information and materials which will be accessible to Contractor, WAHBE will require the Contractor to conduct a state and/or federal criminal background check, SAM/EPLS check and/or reference check on Contractor Staff to be used to provide the Services. WAHBE reserves the right in its sole discretion to reject any propose Contractor Staff as a result of information produced by such reference or background checks.

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8. CONFIDENTIALITY/SAFEGUARDING OF INFORMATION

The CONTRACTOR shall not use or disclose any information concerning the EXCHANGE, or information that is considered personally identifiable information (P.I.I.), for any purpose not directly connected with its performance under or the administration of this contract, except with prior written consent of the EXCHANGE, or as may be required by law. For the purposes of this section, "Personally Identifiable Information" or "P.I.I." means information which can be used to distinguish or trace an individual's identity, such as their name, social security number, biometric records, etc., alone, or when combined with other personal or identifying information which is linked or linkable to a specific individual, such as date and place of birth, or mother's maiden name, etc.

9. CONFLICT OF INTEREST

Notwithstanding any determination by the Executive Ethics Board or other government tribunal, WAHBE may, in its sole discretion, by written notice to the CONTRACTOR terminate this Contract if it is found after due notice and examination by the AGENT that there is a violation of the Ethics in Public Service Act, Chapter 42.52 RCW; or any similar statute involving the CONTRACTOR in the procurement of, or performance under this contract.

In the event this Contract is terminated as provided above, WAHBE shall be entitled to pursue the same remedies against the CONTRACTOR as it could pursue in the event of a breach of the Contract by the CONTRACTOR. The rights and remedies of WAHBE provided for in this clause shall not be exclusive and are in addition to any other rights and remedies provided by law. The existence of facts upon which the AGENT makes any determination under this clause shall be an issue and may be reviewed as provided in the "Disputes" clause of this contract.

10. COPYRIGHT PROVISIONS

Unless otherwise provided, all materials produced under this Contract shall be considered "works for hire" as defined by the U.S. Copyright Act and shall be owned by the EXCHANGE. WAHBE shall be considered the author of such materials. In the event the materials are not considered "works for hire" under the U.S. Copyright laws, CONTRACTOR hereby irrevocably assigns all right, title, and interest in materials, including all intellectual property rights, to WAHBE effective from the moment of creation of such materials.

Materials means all items in any format and includes, but is not limited to, data, reports, documents, pamphlets, advertisements, books, magazines, surveys, studies, computer programs, films, tapes, and/or sound reproductions. Ownership includes the right to copyright, patent, register and the ability to transfer these rights.

CONTRACTOR shall retain all patent, copyright and other intellectual property rights in the methodologies, methods of analysis, ideas, concepts, know-how, models, tools, techniques, skills, knowledge and experience (collectively, "Intellectual Property") owned or possessed by CONTRACTOR before the commencement of, or acquired by CONTRACTOR during or after, the performance of the services.

For materials that are delivered under the contract, but that incorporate pre-existing materials not produced under the contract, CONTRACTOR hereby grants to WAHBE a nonexclusive, royalty-free, irrevocable license (with rights to sublicense others) in such materials to translate, reproduce, distribute, prepare derivative works, publicly perform, and publicly display. The CONTRACTOR warrants and represents that CONTRACTOR has all rights and permissions, including intellectual property rights, moral rights and rights of publicity, necessary to grant such a license to the EXCHANGE.

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The CONTRACTOR shall exert all reasonable effort to advise the EXCHANGE, at the time of delivery of materials furnished under this contract, of all known or potential invasions of privacy contained therein and of any portion of such document that was not produced in the performance of this contract.

WAHBE shall receive prompt written notice of each notice or claim of infringement received by the CONTRACTOR with respect to any data delivered under this contract. WAHBE shall have the right to modify or remove any restrictive markings placed upon the data by the CONTRACTOR.

11. COVENANT AGAINST CONTINGENT FEES

The CONTRACTOR warrants that no person or selling agent has been employed or retained to solicit or secure this Contract upon an agreement or understanding for a commission, percentage, brokerage or contingent fee, excepting bona fide employees or bona fide established agents maintained by the CONTRACTOR for securing business.

WAHBE shall have the right, in the event of breach of this clause by the CONTRACTOR, to annul this Contract without liability or, in its discretion, to deduct from the Contract price or consideration or recover by other means the full amount of such commission, percentage, brokerage or contingent fee.

12. DISALLOWED COSTS

The Contractor is responsible for any audit exceptions or disallowed costs incurred by its own organization or that of its Subcontractors.

13. DISPUTES

Except as otherwise provided in this contract, when a dispute arises between the parties and it cannot be resolved by direct negotiation, either party may request a dispute hearing with AGENT.

1. The request for a dispute hearing must:

- Be in writing;
- State the disputed issue(s);
- State the relative positions of the parties;
- State the CONTRACTOR'S name, address, and Contract number; and
- Be mailed to the AGENT and the other party's (respondent's) Contract Manager within 3 working calendar days after the parties agree that they cannot resolve the dispute.

2. The respondent shall send a written answer to the requester's statement to both the agent and the requester within 5 working calendar days.

3. The AGENT shall review the written statements and reply in writing to both parties within 10 working days. The AGENT may extend this period if necessary by notifying the parties.

4. The parties agree that this dispute process shall precede any action in a judicial or quasi-judicial tribunal.

Nothing in this Contract shall be construed to limit the parties' choice of a mutually acceptable alternate dispute resolution method in addition to the dispute resolution procedure outlined above.

14. DUPLICATE PAYMENT

WAHBE shall not pay the CONTRACTOR, if the CONTRACTOR has charged or will charge the EXCHANGE or any other party under any other contract or agreement, for the same services or expenses.

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15. GOVERNING LAW

This Contract shall be construed and interpreted in accordance with the laws of the State of Washington, and the venue of any action brought hereunder shall be in the Superior Court for Thurston County.

16. INDEMNIFICATION

To the fullest extent permitted by law, CONTRACTOR shall indemnify, defend, and hold harmless the EXCHANGE, the State, agencies of State and all officials, agents and employees of the EXCHANGE, from and against all claims for injuries or death arising out of or resulting from the performance of the contract. "Claim," as used in this contract, means any financial loss, claim, suit, action, damage, or expense, including but not limited to attorney's fees, attributable for bodily injury, sickness, disease, or death, or injury to or destruction of tangible property including loss of use resulting therefrom.

CONTRACTOR'S obligations to indemnify, defend, and hold harmless includes any claim by CONTRACTORS' agents, employees, representatives, or any subcontractor or its employees.

CONTRACTOR expressly agrees to indemnify, defend, and hold harmless WAHBE for any claim arising out of or incident to CONTRACTOR'S or any subcontractor's performance or failure to perform the contract. CONTRACTOR'S obligation to indemnify, defend, and hold harmless WAHBE shall not be eliminated or reduced by any actual or alleged concurrent negligence of EXCHANGE or its agents, agencies, employees and officials.

CONTRACTOR waives its immunity under Title 51 RCW to the extent it is required to indemnify, defend and hold harmless WAHBE and its officials, agents or employees.

17. INDEPENDENT CAPACITY OF THE CONTRACTOR

The parties intend that an independent contractor relationship will be created by this contract. The CONTRACTOR and his or her employees or agents performing under this Contract are not employees or agents of the EXCHANGE. The CONTRACTOR will not hold himself/herself out as or claim to be an officer or employee of WAHBE or of the State of Washington by reason hereof, nor will the CONTRACTOR make any claim of right, privilege or benefit that would accrue to such employee under law. Conduct and control of the work will be solely with the CONTRACTOR.

18. INDUSTRIAL INSURANCE COVERAGE

The CONTRACTOR shall comply with the provisions of Title 51 RCW, Industrial Insurance. If the CONTRACTOR fails to provide industrial insurance coverage or fails to pay premiums or penalties on behalf of its employees, as may be required by law, EXCHANGE may collect from the CONTRACTOR the full amount payable to the Industrial Insurance accident fund. WAHBE may deduct the amount owed by the CONTRACTOR to the accident fund from the amount payable to the CONTRACTOR by WAHBE under this contract, and transmit the deducted amount to the Department of Labor and Industries, (L&I) Division of Insurance Services. This provision does not waive any of L&I's rights to collect from the CONTRACTOR.

19. INSURANCE

19.1. Upon execution of the Contract, and during the remaining term of this Contract, Contractor shall maintain in full force and effect, the insurance described in this section. Contractor shall acquire such insurance from an insurance carrier or carriers licensed to conduct business in the state of Washington and having a rating of A-, Class VII or better, in the most recently published edition of Best's Reports. In the event of cancellation, non-renewal, revocation or

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other termination of any insurance coverage required by this Contract, Contractor shall provide written notice of such to WAHBE within one Business Day of Contractor's receipt of such notice. Failure to buy and maintain the required insurance may result in this Contract's termination.

- 19.2. The minimum acceptable limits shall be as indicated below for each of the following categories:
 - a. Commercial General Liability covering the risks of bodily injury (including death), property damage and personal injury, including coverage for contractual liability, with a limit of not less than \$1 million per occurrence/\$2 million general aggregate;
 - ii. Employers Liability insurance covering the risks of Contractor's employees' bodily injury by accident or disease with limits of not less than \$1 million per accident for bodily injury by accident and \$1 million per employee for bodily injury by disease;
 - b. Umbrella policy providing excess limits over the primary policies in an amount not less than \$3 million;
 - c. Professional Liability Errors and Omissions, with a deductible not to exceed \$25,000, and coverage of not less than \$2 million per occurrence/\$4 million general aggregate; and
 - d. Crime Coverage with a deductible not to exceed \$1 million, and coverage of not less than \$5 million single limit per occurrence and \$10 million in the aggregate, which shall at a minimum cover occurrences falling in the following categories: Computer Fraud; Forgery; Money and Securities; and Employee Dishonesty.
- 19.3. Premiums on all insurance policies shall be paid by Contractor or its Subcontractors. Such insurance policies shall name WAHBE as an additional insured on all general liability and umbrella policies.
- 19.4. Contractor's insurance policies shall not be canceled or non-renewed in scope of coverage without provision for equivalent substitute insurance. Contractor's insurance policies shall not be reduced in scope without WAHBE's prior written consent.
- 19.5. Contractor agrees to waive all rights of subrogation against WAHBE for losses arising from services performed by Contractor under this Contract.
- 19.6. All insurance provided by Contractor shall be primary as to any other insurance or self-insurance programs afforded to or maintained by the WAHBE and shall include a severability of interests (cross-liability) provision.
- 19.7. Contractor shall include all Subcontractors as insured under all required insurance policies, or shall furnish separate certificates of insurance and endorsements for each Subcontractor. Subcontractor(s) shall comply fully with all insurance requirements stated herein. Failure of Subcontractor(s) to comply with insurance requirements does not limit Contractor's liability or responsibility.
- 19.8. Contractor shall furnish to WAHBE copies of certificates of all required insurance within 30 calendar days of this Contract's Effective Date, and copies of renewal certificates of all required insurance within 30 calendar days after the renewal date. These certificates of insurance must expressly indicate compliance with each and every insurance requirement specified in this section.

20. LICENSING, ACCREDITATION AND REGISTRATION

The CONTRACTOR shall comply with all applicable local, state, and federal licensing, accreditation and registration requirements/standards, necessary for the performance of this contract.

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21. LIMITATION OF AUTHORITY

Only the AGENT or AGENT'S delegate by writing (delegation to be made prior to action) shall have the express, implied, or apparent authority to alter, amend, modify, or waive any clause or condition of this contract. Furthermore, any alteration, amendment, modification, or waiver or any clause or condition of this Contract is not effective or binding unless made in writing and signed by the AGENT.

22. NONCOMPLIANCE WITH NONDISCRIMINATION LAWS

In the event of the CONTRACTOR'S non-compliance or refusal to comply with any nondiscrimination law, regulation, or policy, this Contract may be rescinded, canceled or terminated in whole or in part, and the CONTRACTOR may be declared ineligible for further contracts with the EXCHANGE. The CONTRACTOR shall, however, be given a reasonable time in which to cure this noncompliance. Any dispute may be resolved in accordance with the "Disputes" procedure set forth herein.

23. NONDISCRIMINATION

During the performance of this contract, the CONTRACTOR shall comply with all federal and state nondiscrimination laws, regulations and policies.

24. Non-Solicitation.

During the term of this Contract and for 12 months after any termination of this Contract, CONTRACTOR will not, without the prior written consent of the AGENT, either directly or indirectly, on CONTRACTOR'S own behalf or in the service or on behalf of others], solicit or attempt to solicit, divert or hire away any person employed by the EXCHANGE.

25. PRIVACY

Personal Identifiable Information including, but not limited to, "Protected Health Information," collected, used, or acquired in connection with this Contract shall be protected against unauthorized use, disclosure, modification or loss. CONTRACTOR shall ensure its directors, officers, employees, subcontractors or agents use Personal Identifiable Information solely for the purposes of accomplishing the services set forth herein. CONTRACTOR and its subcontractors agree not to release, divulge, publish, transfer, sell or otherwise make known to unauthorized persons Personal Identifiable Information without the express written consent of WAHBE or as otherwise required by law.

Any breach of this provision may result in termination of the Contract and the demand for return of all personal information. The CONTRACTOR agrees to indemnify and hold harmless WAHBE for any damages related to the CONTRACTOR'S unauthorized use of personal information.

26. PUBLICITY

The CONTRACTOR agrees to submit to WAHBE all advertising and publicity matters relating to this Contract wherein the EXCHANGE'S name is mentioned or language used from which the connection of the EXCHANGE'S name may, in the EXCHANGE'S judgment, be inferred or implied. The CONTRACTOR agrees not to publish or use such advertising and publicity matters without the prior written consent of the EXCHANGE.

27. RECORDS MAINTENANCE

The CONTRACTOR shall maintain books, records, documents, data and other evidence relating to this Contract and performance of the services described herein, including but not limited to accounting procedures and practices that sufficiently and properly reflect all direct and indirect costs of any nature expended in the performance of this contract.

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CONTRACTOR shall retain such records for a period of six years following the date of final payment. At no additional cost, these records, including materials generated under the contract, shall be subject at all reasonable times to inspection, review or audit by the EXCHANGE, personnel duly authorized by the EXCHANGE, the Office of the State Auditor, and federal and state officials so authorized by law, regulation or agreement.

If any litigation, claim or audit is started before the expiration of the six (6) year period, the records shall be retained until all litigation, claims, or audit findings involving the records have been resolved.

28. REGISTRATION WITH DEPARTMENT OF REVENUE

The CONTRACTOR shall complete registration with the Washington State Department of Revenue and be responsible for payment of all taxes due on payments made under this contract.

29. RIGHT OF INSPECTION

The CONTRACTOR shall provide right of access to its facilities to the EXCHANGE, or any of its officers, or to any other authorized agent or official of the state of Washington or the federal government, at all reasonable times, in order to monitor and evaluate performance, compliance, and/or quality assurance under this contract.

30. SAVINGS

In the event funding from state, federal, or other sources is withdrawn, reduced, or limited in any way after the effective date of this Contract and prior to normal completion, WAHBE may terminate the Contract under the "Termination for Convenience" clause, without the ten-day notice requirement, subject to renegotiation at the EXCHANGE'S discretion under those new funding limitations and conditions.

31. SEVERABILITY

The provisions of this Contract are intended to be severable. If any term or provision is illegal or invalid for any reason whatsoever, such illegality or invalidity shall not affect the validity of the remainder of the contract.

32. SITE SECURITY

While on EXCHANGE premises, CONTRACTOR, its agents, employees, or subcontractors shall conform in all respects with physical, fire or other security policies or regulations.

33. SUBCONTRACTING

Neither the CONTRACTOR nor any SUBCONTRACTOR shall enter into subcontracts for any of the work contemplated under this Contract without obtaining prior written approval of the EXCHANGE. In no event shall the existence of the subcontract operate to release or reduce the liability of the contractor to the Washington Health Benefit Exchange for any breach in the performance of the contractor's duties. This clause does not include contracts of employment between the contractor and personnel assigned to work under this contract.

Additionally, the CONTRACTOR is responsible for ensuring that all terms, conditions, assurances and certifications set forth in this agreement are carried forward to any subcontracts. CONTRACTOR and its subcontractors agree not to release, divulge, publish, transfer, sell or otherwise make known to unauthorized persons Personally Identifiable Information without the express written consent of WAHBE or as provided by law.

34. SURVIVORSHIP

All license and purchase transactions executed and services provided pursuant to the authority of this Contract shall be bound by all of the terms, conditions, prices and price discounts set forth herein, notwithstanding the expiration of the initial term of this Contract or any extension thereof.

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Further, the terms, conditions and warranties contained in this Contract that by their sense and context are intended to survive the completion of the performance, cancellation or termination of this Contract shall so survive. In addition, the terms of the sections titled; Confidentiality/Safeguarding of Information; Copyright Provisions; Incorporated Documents and Order of Precedence; Limitation of Liability; Publicity; Disputes; Records Maintenance, Vendor's Commitments; Vendor's Proprietary Information; and Warranties and Representations shall survive the termination of this Contract.

35. TAXES

All payments accrued because of payroll taxes, unemployment contributions, any other taxes, insurance or other expenses for the CONTRACTOR or its staff shall be the sole responsibility of the CONTRACTOR.

36. TERMINATION FOR CAUSE

In the event WAHBE determines the CONTRACTOR has failed to comply with the conditions of this Contract in a timely manner, WAHBE has the right to suspend or terminate this contract. Before suspending or terminating the contract, WAHBE shall notify the CONTRACTOR in writing of the need to take corrective action. If corrective action is not taken within 30 calendar days, the Contract may be terminated or suspended.

In the event of termination or suspension, the CONTRACTOR shall be liable for damages as authorized by law including, but not limited to, any cost difference between the original Contract and the replacement or cover contract and all administrative costs directly related to the replacement contract, e.g., cost of the competitive bidding, mailing, advertising and staff time.

WAHBE reserves the right to suspend all or part of the contract, withhold further payments, or prohibit the CONTRACTOR from incurring additional obligations of funds during investigation of the alleged compliance breach and pending corrective action by the CONTRACTOR or a decision by WAHBE to terminate the contract. A termination shall be deemed a "Termination for Convenience" if it is determined that the CONTRACTOR: (1) was not in default; or (2) failure to perform was outside of his or her control, fault or negligence.

The rights and remedies of WAHBE provided in this Contract are not exclusive and are, in addition to any other rights and remedies, provided by law.

37. TERMINATION FOR CONVENIENCE

Except as otherwise provided in this contract, WAHBE may, by 10 calendar days written notice, beginning on the second day after the mailing, terminate this contract, in whole or in part. If this Contract is so terminated, WAHBE shall be liable only for payment required under the terms of this Contract for services rendered or goods delivered prior to the effective date of termination.

38. TERMINATION FOR FUNDING CONTINGENCY

In the event funding from state, federal, or other sources is withdrawn, reduced, or limited in any way after the effective date of this Contract and prior to normal completion, WAHBE may terminate this Contract without advance notice, subject to renegotiation under those new funding limitations and conditions.

39. TERMINATION PROCEDURES

Upon termination of this contract, the EXCHANGE, in addition to any other rights provided in this contract, may require the CONTRACTOR to deliver to WAHBE any property specifically produced or acquired for the performance of such part of this Contract as has been terminated. The provisions of the "Treatment of Assets" clause shall apply in such property transfer.

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WAHBE shall pay to the CONTRACTOR the agreed upon price, if separately stated, for completed work and services accepted by the EXCHANGE, and the amount agreed upon by the CONTRACTOR and WAHBE for (i) completed work and services for which no separate price is stated, (ii) partially completed work and services, (iii) other property or services that are accepted by the EXCHANGE, and (iv) the protection and preservation of property, unless the termination is for default, in which case the AGENT shall determine the extent of the liability of the EXCHANGE. Failure to agree with such determination shall be a dispute within the meaning of the "Disputes" clause of this contract. WAHBE may withhold from any amounts due the CONTRACTOR such sum as the AGENT determines to be necessary to protect WAHBE against potential loss or liability.

The rights and remedies of WAHBE provided in this section shall not be exclusive and are in addition to any other rights and remedies provided by law or under this contract.

After receipt of a notice of termination, and except as otherwise directed by the AGENT, the CONTRACTOR shall:

1. Stop work under the Contract on the date, and to the extent specified, in the notice;
2. Place no further orders or subcontracts for materials, services, or facilities except as may be necessary for completion of such portion of the work under the Contract that is not terminated;
3. Assign to the EXCHANGE, in the manner, at the times, and to the extent directed by the AGENT, all of the rights, title, and interest of the CONTRACTOR under the orders and subcontracts so terminated, in which case WAHBE has the right, at its discretion, to settle or pay any or all claims arising out of the termination of such orders and subcontracts;
4. Settle all outstanding liabilities and all claims arising out of such termination of orders and subcontracts, with the approval or ratification of the AGENT to the extent AGENT may require, which approval or ratification shall be final for all the purposes of this clause;
5. Transfer title to WAHBE and deliver in the manner, at the times, and to the extent directed by the AGENT any property which, if the Contract had been completed, would have been required to be furnished to the EXCHANGE;
6. Complete performance of such part of the work as shall not have been terminated by the AGENT; and
7. Take such action as may be necessary, or as the AGENT may direct, for the protection and preservation of the property related to this contract, which is in the possession of the CONTRACTOR and in which WAHBE has or may acquire an interest.

40. TREATMENT OF ASSETS

- A. Title to all property furnished by WAHBE shall remain property of WAHBE. Title to all property furnished by the CONTRACTOR, for the cost of which the CONTRACTOR is entitled to be reimbursed as a direct item of cost under this contract, shall pass to and vest in WAHBE upon delivery of such property by the CONTRACTOR. Title to other property, the cost of which is reimbursable to the CONTRACTOR under this contract, shall pass to and vest in WAHBE upon (i) issuance for use of such property in the performance of this contract, or (ii) commencement of use of such property in the performance of this contract, or (iii) reimbursement of the cost thereof by WAHBE in whole or in part, whichever first occurs.
- B. Any property of WAHBE furnished to the CONTRACTOR shall, unless otherwise provided herein or approved by the EXCHANGE, be used only for the performance of this contract.
- C. The CONTRACTOR shall be responsible for any loss or damage to property of WAHBE that results from the negligence of the CONTRACTOR or which results from the failure on the part

ATTACHMENT A

of the CONTRACTOR to maintain and administer that property in accordance with sound management practices.

- D. If any EXCHANGE property is lost, destroyed or damaged, the CONTRACTOR shall immediately notify WAHBE and shall take all reasonable steps to protect the property from further damage.
- E. The CONTRACTOR shall surrender to WAHBE all property of WAHBE prior to settlement upon completion, termination or cancellation of this contract
- F. All reference to the CONTRACTOR under this clause shall also include CONTRACTOR'S employees, agents or SUBCONTRACTORS.

41. U.S. Department of Treasury, Office of Foreign Assets Control

WAHBE complies with U.S. Department of the Treasury, Office of Foreign Assets Control (OFAC) payment rules. OFAC prohibits financial transactions with individuals or organizations, which have been placed on the OFAC Specially Designated Nationals (SDN) and Blocked Persons sanctions list located at <http://www.treas.gov/offices/enforcement/ofac/index.html>. Compliance with OFAC payment rules ensures that WAHBE does not conduct business with individuals or organizations that have been determined to be supporters of terrorism and international drug dealing or that pose other dangers to the United States.

Prior to making payment to individuals or organizations, WAHBE will download the current OFAC SDN file and compare it to Exchange and statewide vendor files. In the event of a positive match, WAHBE reserves the right to: (1) make a determination of "reasonability" before taking the positive match to a higher authority, (2) seek assistance from the Washington State Office of the State Treasurer (OST) for advanced assistance in resolving the positive match, (3) comply with an OFAC investigation, if required, and/or (4) if the positive match is substantiated, notify the contractor in writing and terminate the Contract according to the Termination for Convenience provision without making payment. WAHBE will not be liable for any late payment fees or missed discounts that are the result of time required to address the issue of an OFAC match.

42. CONTRACTOR'S PROPRIETARY INFORMATION

Contractor acknowledges that WAHBE is subject to chapter 42.56 RCW and that this Contract shall be a public record as defined in chapter 42.56 RCW. Any specific information that is claimed by Contractor to be Proprietary Information must be clearly identified as such by Contractor. To the extent consistent with chapter 42.56 RCW, WAHBE shall maintain the confidentiality of all such information marked Proprietary Information. If a public disclosure request is made to view Contractor's Proprietary Information, WAHBE will notify the Contractor of the request and of the date that such records will be released to the requester unless the Contractor obtains a court order from a court of competent jurisdiction enjoining that disclosure. If the Contractor fails to obtain the court order enjoining disclosure, WAHBE will release the requested information on the date specified.

43. WAIVER

Waiver of any default or breach shall not be deemed a waiver of any subsequent default or breach. Any waiver shall not be construed to be a modification of the terms of this Contract unless stated to be such in writing and signed by authorized representative of the EXCHANGE.

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EXHIBIT B

FEDERAL COMPLIANCE, CERTIFICATIONS, AND ASSURANCES

In the event federal funds are included in this agreement, the following sections apply: I. Federal Compliance and II. Standard Federal Assurances and Certifications. In the instance of inclusion of federal funds, the Contractor may be designated as a sub-recipient and the effective date of the amendment shall also be the date at which these requirements go into effect.

- I. FEDERAL COMPLIANCE** - The use of federal funds requires additional compliance and control mechanisms to be in place. The following represents the majority of compliance elements that may apply to any federal funds provided under this contract. For clarification regarding any of these elements or details specific to the federal funds in this contract, contact:

Carole Holland, CFO
WA Health Benefit Exchange
810 Jefferson Street (mailing address-PO Box 657, Olympia WA 98507)
Olympia, WA 98501
(360) 688-7720
Fax: (360) 688-7332
Email address: carole.holland@wahbexchange.org

- a. Source of Funds:** Federal funds to support this agreement are identified by the Catalog of Federal Domestic Assistance (CFDA) number 93.525, the full and complete terms and provisions of which are hereby incorporated into this agreement can be found by reference in Exhibit E.
- b. Modifications:** This agreement may not be modified or amended, nor may any term or provision be waived or discharged, including this particular Paragraph, except in writing, signed upon by both parties.
- 1.** Examples of items requiring Washington Health Benefit Exchange prior written approval include, but are not limited to, the following:
- i. Deviations from the budget and Project plan.
 - ii. Change in scope or objective of the agreement.
 - iii. Change in a key person specified in the agreement.
 - iv. The absence for more than three months or a 25% reduction in time by the Project Manager/Director.
 - v. Need for additional funding.
 - vi. Inclusion of costs that require prior approvals as outlined in the appropriate cost principles.
 - vii. Any changes in budget line item(s) of greater than twenty percent (20%) of the total budget in this agreement.
- 2.** No changes are to be implemented by the Sub-recipient/vendor until a written notice of approval is received from the Health Benefit Exchange.
- c. Condition for Receipt of Health Benefit Exchange Funds:** Funds provided by Washington Health Benefit Exchange to the Sub-recipient/vendor under this agreement may not be used by the Sub-recipient/vendor as a match or cost-sharing provision to secure other federal monies.

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- d. *Citizenship/Alien Verification/Determination:* The Personal Responsibility and Work Opportunity Reconciliation Act (PRWORA) of 1996 (PL 104-193) states that federal public benefits should be made available only to U.S. citizens and qualified aliens. Entities that offer a service defined as a “federal public benefit” must make a citizenship/qualified alien determination/ verification of applicants at the time of application as part of the eligibility criteria. Non-US citizens and unqualified aliens are not eligible to receive the services. PL 104-193 also includes specific reporting requirements.
- e. *Federal Compliance:* The Sub-recipient/vendor shall comply with all applicable State and Federal statutes, laws, rules, and regulations in the performance of this agreement, whether included specifically in this agreement or not.
- f. *Civil Rights and Non-Discrimination Obligations* During the performance of this agreement, the Contractor shall comply with all current and future federal statutes relating to nondiscrimination. These include but are not limited to: Title VI of the Civil Rights Act of 1964 (PL 88-352), Title IX of the Education Amendments of 1972 (20 U.S.C. §§ 1681-1683 and 1685-1686), section 504 of the Rehabilitation Act of 1973 (29 U.S.C. § 794), the Age Discrimination Act of 1975 (42 U.S.C. §§ 6101-6107), the Drug Abuse Office and Treatment Act of 1972 (PL 92-255), the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (PL 91-616), §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290dd-3 and 290ee-3), Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), and the Americans with Disability Act (42 U.S.C., Section 12101 et seq.) <http://www.hhs.gov/ocr/civilrights>

Exchange Federal Compliance Contact Information
Washington Health Benefit Exchange
810 Jefferson Street (mailing address-PO Box 657, Olympia WA 98507)
Olympia, Washington 98504

STANDARD FEDERAL CERTIFICATIONS AND ASSURANCES - Following are the Assurances, Certifications, and Special Conditions that apply to all federally funded (in whole or in part) agreements administered by the Washington Health Benefit Exchange.

CERTIFICATIONS

1. CERTIFICATION REGARDING DEBARMENT AND SUSPENSION

The undersigned (authorized official signing for the contracting organization) certifies to the best of his or her knowledge and belief, that the contractor, defined as the primary participant and the principal(s), defined as an officer, director or owner of the organization in accordance with 45 CFR Part 76, and its principles:

- a) are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal Department or agency;

- b) have not within a 3-year period preceding this contract been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
- c) are not presently indicted or otherwise criminally or civilly charged by a governmental entity (Federal, State, or local) with commission of any

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of the offenses enumerated in paragraph (b) of this certification; and

- d)** have not within a 3-year period preceding this contract had one or more public transactions (Federal, State, or local) terminated for cause or default.

Should the contractor not be able to provide this certification, an explanation as to why should be placed after the assurances page in the contract.

The contractor agrees by signing this contract that it will include, without modification, the clause titled "Certification Regarding Debarment, Suspension, Ineligibility, and Voluntary Exclusion--Lower Tier Covered Transactions" in all lower tier covered transactions (i.e., transactions with sub-grantees and/or contractors) and in all solicitations for lower tier covered transactions in accordance with 45 CFR Part 76.

2. CERTIFICATION REGARDING DRUG-FREE WORKPLACE REQUIREMENTS

The undersigned (authorized official signing for the contracting organization) certifies that the contractor will, or will continue to, provide a drug-free workplace in accordance with 45 CFR Part 76 by:

- a)** Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
- b)** Establishing an ongoing drug-free awareness program to inform employees about
 - (1) The dangers of drug abuse in the workplace;
 - (2) The contractor's policy of maintaining a drug-free workplace;
 - (3) Any available drug counseling, rehabilitation, and employee assistance programs; and
 - (4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
- c)** Making it a requirement that each employee to be engaged in the performance of the contract be given a copy of the statement required by paragraph (a) above;

- d)** Notifying the employee in the statement required by paragraph (a), above, that, as a condition of employment under the contract, the employee will—

- (1) Abide by the terms of the statement; and
- (2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

- e)** Notifying the agency in writing within ten calendar days after receiving notice under paragraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every contract officer or other designee on whose contract activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

- f)** Taking one of the following actions, within 30 calendar days of receiving notice under paragraph (d) (2), with respect to any employee who is so convicted—

- (1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

- (2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

- g)** Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a), (b), (c), (d), (e), and (f).

For purposes of paragraph (e) regarding agency notification of criminal drug convictions, WAHBE has designated the following central point for receipt of such notices:

Legal Services Director
WA Health Benefit Exchange
PO Box 657
Olympia, WA 98501

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3. CERTIFICATION REGARDING LOBBYING

Title 31, United States Code, Section 1352, entitled "Limitation on use of appropriated funds to influence certain Federal contracting and financial transactions," generally prohibits recipients of Federal grants and cooperative agreements from using Federal (appropriated) funds for lobbying the Executive or Legislative Branches of the Federal Government in connection with a SPECIFIC grant or cooperative agreement. Section 1352 also requires that each person who requests or receives a Federal grant or cooperative agreement must disclose lobbying undertaken with non-Federal (nonappropriated) funds. These requirements apply to grants and cooperative agreements EXCEEDING \$100,000 in total costs (45 CFR Part 93).

The undersigned (authorized official signing for the contracting organization) certifies, to the best of his or her knowledge and belief, that:

- (1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federally appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions. (If needed, Standard Form-LLL, "Disclosure of Lobbying Activities," its instructions, and continuation sheet are included at the end of this application form.)

- (3) The undersigned shall require that the language of this certification be included in the award documents for all subcontracts at all tiers (including subcontracts, subcontracts, and contracts under grants, loans and cooperative agreements) and that all Sub-recipient/vendors shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

4. CERTIFICATION REGARDING PROGRAM FRAUD CIVIL REMEDIES ACT (PFCRA)

The undersigned (authorized official signing for the contracting organization) certifies that the statements herein are true, complete, and accurate to the best of his or her knowledge, and that he or she is aware that any false, fictitious, or fraudulent statements or claims may subject him or her to criminal, civil, or administrative penalties. The undersigned agrees that the contracting organization will comply with the Public Health Service terms and conditions of award if a contract is awarded.

5. CERTIFICATION REGARDING ENVIRONMENTAL TOBACCO SMOKE

Public Law 103-227, also known as the Pro-Children Act of 1994 (Act), requires that smoking not be permitted in any portion of any indoor facility owned or leased or contracted for by an entity and used routinely or regularly for the provision of health, day care, early childhood development services, education or library services to children under the age of 18, if the services are funded by Federal programs either directly or through State or local governments, by Federal grant, contract, loan, or loan guarantee. The law also applies to children's services that are provided in indoor facilities that are constructed, operated, or maintained with such Federal funds. The law does not apply to children's services provided in private residence, portions of facilities used for inpatient drug or alcohol treatment, service providers whose sole source of applicable Federal funds is Medicare or Medicaid, or facilities where WIC coupons are redeemed.

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Failure to comply with the provisions of the law may result in the imposition of a civil monetary penalty of up to \$1,000 for each violation and/or the imposition of an administrative compliance order on the responsible entity.

By signing the certification, the undersigned certifies that the contracting organization will comply with the requirements of the Act and will not allow smoking within any portion of any indoor facility used for the provision of services for children as defined by the Act.

The contracting organization agrees that it will require that the language of this certification be included in any subcontracts which contain provisions for children's services and that all Sub-recipient/vendors shall certify accordingly.

The Public Health Services strongly encourages all recipients to provide a smoke-free workplace and promote the non-use of tobacco products. This is consistent with the PHS mission to protect and advance the physical and mental health of the American people.

6. CERTIFICATION REGARDING DEBARMENT, SUSPENSION, AND OTHER RESPONSIBILITY MATTERS INSTRUCTIONS FOR CERTIFICATION

- 1) By signing and submitting this proposal, the prospective contractor is providing the certification set out below.
- 2) The inability of a person to provide the certification required below will not necessarily result in denial of participation in this covered transaction. The prospective contractor shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective contractor to furnish a certification or an explanation shall disqualify such person from participation in this transaction.
- 3) The certification in this clause is a material representation of fact upon which reliance was placed when the department or agency determined to enter into this transaction. If it is later determined that the prospective contractor knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause of default.
- 4) The prospective contractor shall provide immediate written notice to the department or agency to whom this contract is submitted if at any time the prospective contractor learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
- 5) The terms covered transaction, debarred, suspended, ineligible, lower tier covered transaction, participant, person, primary covered transaction, principal, proposal, and voluntarily excluded, as used in this clause, have the meanings set out in the Definitions and Coverage sections of the rules implementing Executive Order 12549. You may contact the person to whom this contract is submitted for assistance in obtaining a copy of those regulations.
- 6) The prospective contractor agrees by submitting this contract that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the EXCHANGE.
- 7) The prospective contractor further agrees by submitting this contract that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion -- Lower Tier Covered Transaction," provided by HHS, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
- 8) A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the Non-procurement List (of excluded parties).
- 9) Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally

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possessed by a prudent person in the ordinary course of business dealings.

10) Except for transactions authorized under paragraph 6 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, WAHBE may terminate this transaction for cause or default.

7. CERTIFICATION REGARDING DEBARMENT, SUSPENSION, AND OTHER RESPONSIBILITY MATTERS -- PRIMARY COVERED TRANSACTIONS

- 1)** The prospective contractor certifies to the best of its knowledge and belief, that it and its principals:
 - a)** Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
 - b)** Have not within a three-year period preceding this contract been convicted of or

had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;

- c)** Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and
- d)** Have not within a three-year period preceding this contract had one or more public transactions (Federal, State or local) terminated for cause or default.

2) Where the prospective contractor is unable to certify to any of the statements in this certification, such prospective contractor shall attach an explanation to this proposal.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
Please also print or type name:	
ORGANIZATION NAME: (if applicable)	DATE

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Exhibit C – Data Security Requirements

1. Definitions.
 - a. “Authorized User(s)” means an individual or individuals with an authorized business requirement to access EXCHANGE Confidential Information.
 - b. “Hardened Password” means a string of at least eight characters including 1 upper case, 1 lower case, one number and 1 special character (i.e., nonalphanumeric characters). The administrator and privileged user password must change every 60 days and other user password once every 90 days. Previous 6 consecutive passwords cannot be reused. The passwords must not allow Userids, First Name or the last name of the user. “Transmitting” means the transferring of data electronically, such as via email.
 - c. “Transmitting” means the transferring of data electronically, such as via email.
 - d. “Transporting” means the physical transferring of data that has been stored.
 - e. “Unique User ID” means a string of characters that identifies a specific user and which, in conjunction with a password, passphrase, or other mechanism, authenticates a user to an information system.
2. Data Transmitting. When transmitting EXCHANGE Confidential Information electronically, including via email, the Data shall be protected by:
 - a. Transmitting the Data within the (State Governmental Network) SGN, Health Benefit Exchange network or Contractor’s internal network, or;
 - b. Encrypting any Data that will be transmitted outside the SGN or Contractor’s internal network with 128-bit Advanced Encryption Standard (AES) encryption or better. This includes transit over the public Internet.
3. Protection of Data. The Contractor agrees to store Data on one or more of the following media and protect the Data as described:
 - a. Hard disk drives. Data stored on local workstation hard disks. Access to the Data will be restricted to Authorized User(s) by requiring logon to the local workstation using a Unique User ID and Hardened Password or other authentication mechanisms which provides equal or greater security, such as biometrics or smart cards. The data on the drive will only be accessible to authenticated individuals that need to access it. That is, the data will be secured on the disk in such a way that other authenticated individuals that do not need access to the data will not have the ability to access it. Workstations with sensitive data stored on them will be tracked and their movements documented until the sensitive data is removed from the workstation. When the data is removed the date of its removal and method of its removal will be documented. Hard drives that have contained sensitive data will be wiped with a method that will render the deleted information irretrievable.
 - b. Network server disks. Data stored on hard disks mounted on network servers and made available through shared folders. Access to the Data will be restricted to Authorized Users through the use of access control lists which will grant access only after the Authorized User has authenticated to the network using a Unique User ID and Hardened Password or other authentication mechanisms which provide equal or greater security, such as

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biometrics or smart cards. Data on disks mounted to such servers must be located in an area which is accessible only to authorized personnel, with access controlled through use of a key, card key, combination lock, or comparable mechanism.

For EXCHANGE Confidential Information stored on these disks, deleting unneeded Data is sufficient as long as the disks remain in a Secured Area and otherwise meet the requirements listed in the above paragraph. Destruction of the Data as outlined in Section 5. Data Disposition may be deferred until the disks are retired, replaced, or otherwise taken out of the Secured Area.

- c. Removable Media, including Optical discs (CDs or DVDs) in local workstation optical disc drives and which will not be transported out of a secure area. Sensitive or Confidential Data provided by WAHBE on removable media, such as optical discs or USB drives, which will be used in local workstation optical disc drives or USB connections shall be encrypted with 128-bit AES encryption or better. When not in use for the contracted purpose, such discs must be locked in a drawer, cabinet or other container to which only authorized users have the key, combination or mechanism required to access the contents of the container. Workstations which access EXCHANGE Data on optical discs must be located in an area which is accessible only to authorized personnel, with access controlled through use of a key, card key, combination lock, or comparable mechanism.
- d. Optical discs (CDs or DVDs) in drives or jukeboxes attached to servers and which will not be transported out of a secure area. Data provided by WAHBE on optical discs which will be attached to network servers shall be encrypted with 128-bit AES encryption or better. Access to Data on these discs will be restricted to authorized users through the use of access control lists which will grant access only after the authorized user has been authenticated to the network using a unique user ID and complex password or other authentication mechanisms which provide equal or greater security, such as biometrics or smart cards. Data on discs attached to such servers must be located in an area which is accessible only to authorized personnel, with access controlled through use of a key, card key, combination lock, or comparable mechanism.
- e. Paper documents. All paper records must be protected by storing the records in a secure area which is only accessible to authorized personnel. When not in use, such records must be stored in a locked container, such as a file cabinet, locking drawer, or safe, to which only authorized persons have access.
- f. Access via remote terminal/workstation over the State Governmental Network (SGN) or WA Health Benefit Exchange network (EXCHANGE Network). Data accessed and used interactively over the SGN or EXCHANGE Network. Access to the Data will be controlled by EXCHANGE staff who will issue authentication credentials (e.g. a unique user ID and complex password) to authorized contractor staff. Contractor shall have established and documented access termination procedures for existing staff with access to EXCHANGE Data. These procedures shall be provided to EXCHANGE staff upon request. The Contractor will notify EXCHANGE staff immediately whenever an authorized person in possession of such credentials is terminated or otherwise leaves the employment of the contractor, and whenever a user's duties change such that the user no longer requires access to perform work for this Contract.
- g. Access via remote terminal/workstation over the Internet through Secure Access Washington. Data accessed and used interactively over the Internet. Access to the Data will be controlled by EXCHANGE staff who will issue remote access authentication credentials (e.g. a unique user ID and complex password) to authorized contractor staff.

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Contractor will notify EXCHANGE staff immediately whenever an authorized person in possession of such credentials is terminated or otherwise leaves the employ of the contractor and whenever a user's duties change such that the user no longer requires access to perform work for this Contract.

h. Data storage on portable devices or media.

(1) EXCHANGE Data shall not be stored by the Contractor on portable devices or media unless specifically authorized within the Special Terms and Conditions of the contract. If so authorized, the Data shall be given the following protections:

- (a) Encrypt the Data with a key length of at least 128 bits using an industry standard algorithm (e.g., AES, *Twofish*, *RC6*, etc.)
- (b) Control access to devices with a unique user ID and password or stronger authentication method such as a physical token or biometrics.
- (c) Manually lock devices whenever they are left unattended and set devices to lock automatically after a period of inactivity, if this feature is available. Maximum period of inactivity is 20 minutes.

Physically protect the portable device(s) and/or media by

- (d) Keeping them in locked storage when not in use
 - (e) Using check-in/check-out procedures when they are shared, and
 - (f) Taking frequent inventories
- (2) When being transported outside of a secure area, portable devices and media with confidential EXCHANGE Data must be under the physical control of contractor staff with authorization to access the Data.
- (3) Portable devices include any small computing device that can be transported. They include, but are not limited to; handhelds/PDAs/phones, Ultramobile PCs, flash memory devices (e.g. USB flash drives, personal media players), and laptop/notebook/tablet computers.
- (4) Portable media includes any Data storage that can be detached or removed from a computer and transported. They include, but are not limited to; optical media (e.g. CDs, DVDs), magnetic media (e.g. floppy disks, tape, Zip or Jaz disks), USB drives, or flash media (e.g. CompactFlash, SD, MMC).

4. Data Segregation.

- a. EXCHANGE Data must be segregated or otherwise distinguishable from non-EXCHANGE Data. This is to ensure that when no longer needed by the contractor, all EXCHANGE Data can be identified for return or destruction. It also aids in determining whether EXCHANGE Data has or may have been compromised in the event of a security breach.
- b. EXCHANGE Data will be kept on media (e.g. hard disk, optical disc, tape, etc.) which will contain no non-WAHBE Data. Or,
- c. WAHBE Data will be stored in a logical container on electronic media, such as a partition or folder dedicated to WAHBE Data. Or,

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- d. WAHBE Data will be stored in a database which will contain no non-WAHBE Data. Or,
 - e. WAHBE Data will be stored within a database and will be distinguishable from non-WAHBE Data by the value of a specific field or fields within database records. Or,
 - f. When stored as physical paper documents, WAHBE Data will be physically segregated from non-WAHBE Data in a drawer, folder, or other container.
 - g. When it is not feasible or practical to segregate WAHBE Data from non-WAHBE Data, then both the WAHBE Data and the non-WAHBE Data with which it is commingled must be protected as described in this exhibit.
5. Data Disposition. When the contracted work has been completed or when no longer needed, except as noted in 2.b above, Data shall be returned to the WAHBE or destroyed. Media on which Data may be stored and associated acceptable methods of destruction are as follows:

Data stored on:	Will be destroyed by:
Server or workstation hard disks, or Removable media (e.g. floppies, USB flash drives, portable hard disks, Zip or similar disks)	Using a "wipe" utility which will overwrite the Data at least three (3) times using either random or single character Data, or Degaussing sufficiently to ensure that the Data cannot be reconstructed, or Physically destroying the disk
Paper documents with sensitive or confidential Data	Recycling through a contracted firm provided the contract with the recycler assures that the confidentiality of Data will be protected.
Paper documents containing confidential information requiring special handling (e.g. protected health information)	On-site shredding by a method that renders the Data unreadable, pulping, or incineration
Optical discs (e.g. CDs or DVDs)	Incineration, shredding, or cutting/breaking into small pieces.
Magnetic tape	Degaussing, incinerating or crosscut shredding

6. Notification of Compromise or Potential Compromise. The Contractor shall have an established and documented policy to deal with the compromise or potential compromise of Data that complies with the HITECH Act of ARRA 209. The Contractor shall provide WAHBE staff of such policy upon request. The compromise or potential compromise of WAHBE shared Data must be reported to the WAHBE Contact designated on this Contract within one (1) business day of discovery.
7. Data shared with Sub-contractors. If WAHBE Data provided under this Contract is to be shared with a sub-contractor, the contract with the sub-contractor must include all of the Data security provisions within this Contract and within any amendments, attachments, or exhibits within this Contract. If the subcontractor cannot protect the Data as stated within this Contract, then the contract with the sub-contractor must be submitted to the WAHBE Contact Services for review and approval.

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EXHIBIT D – STATEMENT OF WORK SAMPLE

Statement of Work

Contract Number [HBE-XXX]

SharePoint Services

This Statement of Work (SOW) is made and entered by and between the Washington Health Benefit Exchange (“HBE”), and [Contractor] (“CONTRACTOR”), for the purpose of providing HBE Audit Services. This SOW incorporates by reference the terms and conditions of Contract Number [HBE-XXX] in effect between the HBE and CONTRACTOR. In case of any conflict between this SOW and the Contract, the Contract shall prevail. HBE and CONTRACTOR agree as follows:

1. Project or Task Objectives

To assist WAHBE in creating and establishing a forward thinking vision for SharePoint beyond the project implementation. The desired final product for this project will be a new intranet landing/home page and two child page template layouts integrated with the existing WAHBE SharePoint Office 365. Design will meet user needs and will be welcoming, intuitive and modern. Site must integrate with Microsoft and other programs, as described in the Scope of Work.

2. Scope of Work and Deliverables

CONTRACTOR shall provide Services and staff, and otherwise do all things necessary for or incidental to the performance of work, as set forth below:

See RFQQ Section 2.

3. Period of Performance

The period of performance for this project will start on February 1, 2017 and the work tasks are estimated to continue through September 1, 2017. HBE has the right to extend or terminate this SOW at its sole discretion.

4. Compensation and Payment

A. HBE shall pay CONTRACTOR an amount not to exceed [_____] dollars (\$____) [specify maximum dollar amount] for the performance of all activities necessary for or incidental to the performance of work as set forth in this SOW. CONTRACTOR’s compensation for services rendered shall be based on CONTRACTOR’s Prices as set forth in the Contract’s Schedule A, *Authorized Services and Price List* as follows:

Phase	Cost
Project Management and Research	\$
Design	\$
Development	\$
Testing	\$
Training and Go-Live	\$
Post Go-Live Support	\$
Total Project (sum of above phases)	\$

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B. For any additional work that may be authorized under the resulting contract, associated Statements of Work (SOW) or Change Orders:

	Staff Level/Title/Function	Hourly Rate
1		\$
2		\$
3		\$
4		\$

C. Costs for optional intranet site maintenance services and support

	Maintenance Level/Service/Category	Hourly Rate
1		\$
2		\$
3		\$
4		\$

5. CONTRACTOR Staff, Roles and Responsibilities

a. Assignment of Staff

The Contractor staff identified for this project will work for at least the approximate number of hours described. The Exchange may at their sole discretion, without cause, and at any time during the term of the Contract, require immediate replacement of a Contractor employee(s). Unless authorized in writing in advance by the Exchange Project Manager, subcontracting or substituting proposed Contractor staff/employees with other staff/employees will not be allowed. The Exchange Project Manager shall have the sole discretion to accept or reject such proposal. If the Exchange does not accept Contractor's proposed change and Contractor is unable to provide acceptable personnel or an acceptable alternative to the Exchange within ten (10) Business Days after the originally assigned personnel have left, then the Exchange may terminate the Contract.

The Exchange may assign other contractors/vendors and/or Exchange staff to work with the Contractor. The Contractor shall work collaboratively and share knowledge and expertise with Exchange staff or contractors/vendors to ensure a successful and timely completion. The SOW is not intended to completely describe all work the Contractor will need to accomplish during the period of performance.

b. Contractor Project Manager

Contractor Project Manager in conjunction with the Exchange Project Manager shall be responsible, as required, for defining the project scope and estimate, building the detailed project plan, monitoring and directing project activities as they relate to the project scope and project plan. Contractor Project Manager is also the primary contact for all aspects of the project, resourcing, scheduling, planning and project implementation. In addition, the Contractor Project Manager prepares periodic status reports for Exchange review. Contractor Project Manager's contact information is provided below.

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Contractor Project Manager:

Address:

Phone:

Email:

6. HBE Staff, Roles and Responsibilities

- a) The HBE Project Manager will act as the single point of contact for the contractor and have the authority to make project related decisions.

Exchange Project Manager:

Address:

Phone:

Email:

- b) Accept deliverables and other work products.
c) Accept change requests related to this scope of work.
d) Coordinate HBE staff availability to attend meetings, reviews, demonstrations, or other appropriate activities, and required by the SOW and deliverables planned.
e) Respond to Contractors requests for information, clarification, and make project related decisions
f) Assist in scheduling meetings and providing meeting and demonstration rooms as necessary.
g) Other activities as specified

7. Acceptance Criteria

WAHBE shall determine acceptance of the final project based on the following criteria:

8.1. Theme development

Upon WAHBE's approved finalized screen mockups for the home page, a department page, and a functional page.

8.2. Quality assurance testing

Upon work completed by both parties to implement and complete the Quality Assurance Testing plan.

8.3. Post testing development fixes

Upon your completion of deficiencies discovered during the functional and quality assurance testing.

8.4. Production readiness checklist/plan and implementation

Upon both party's efforts to complete the work of applying templates and design theme to SharePoint site.

8.5. Training

Upon completion of three training sessions and delivery of videos and training materials.

8.6. Post production fixes

Upon completion of reported issues discovered within first month of agency's addition of web parts and content building in designed themes.

8.7. Knowledge transfer

Upon receiving technical documentation and any applicable passwords.

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8.8. Transfer development code

Upon receiving development code for preservation within the WAHBE's technology vault.

8.9. Project close letter

Upon project manager signatures of agreement from both parties.

8. Additional Terms and Conditions Specific to this SOW

[State additional terms and conditions specific to this SOW not found in Contract, if any.]