Washington Health Benefit Exchange
Individual Market
820 Companion and Process Guide
2023 Plan Year

Instructions contain technical and process details for carriers receiving Cascade Care Savings payments through the Washington Health Benefit Exchange Individual Market.

1   Contents

1. Overview

The 2021 Washington State Legislature enacted Engrossed Second Substitute Senate Bill 5377 which, among other provisions, directed the Exchange to establish a state premium assistance program for Washington residents. The Legislature included in Engrossed Substitute Senate Bill 5092 (Operating Budget), $50 Million in state funding for the Exchange to implement the premium assistance program for plan year 2023, for individuals with income up to 250 percent of the federal poverty level.

This guide provides the technical requirements for the Washington Health Benefit Exchange's (WAHBE) implementation of the X12 820 transaction, and guidance concerning Cascade Care Savings payment processes.

1.1 Relationship to Other Guides

WAHBE maintains several other documents that relate to this guide:

- The 834 Companion Guide outlines the technical details for the 834 enrollment transactions; this guide provides context around the enrollment data flow beyond the scope of the 820 Companion and Process Guide
- The Carrier Enrollment and Payment Process Guide provides operational and policy guidance on eligibility, enrollment, payment, Cascade Care Savings invoicing and reconciliation activities within WAHBE
- The FCA ICD contains technical and process details for the monthly audit process
- The State Premium Assistance Policy governs the Exchange's implementation and administration of the State Premium Assistance Program where benefits are referred to as Cascade Care Savings

1.2 Standard X12 Disclaimer

This Companion and Process Guide to the ASC X12 Health Insurance Exchange-related Payments (820) transaction, based on the 005010X306; if any unintentional conflict between this guide and the 820 Implementation guide exists, the 820 Implementation Guide should take precedence.

2   Connection and Testing Details

820 remittance transactions are delivered to carriers through the same mechanism as 834 enrollment transactions. Complete folder details are described in the 834 Companion Guide.

2.1   Process Diagram

State Subsidy 820 flow
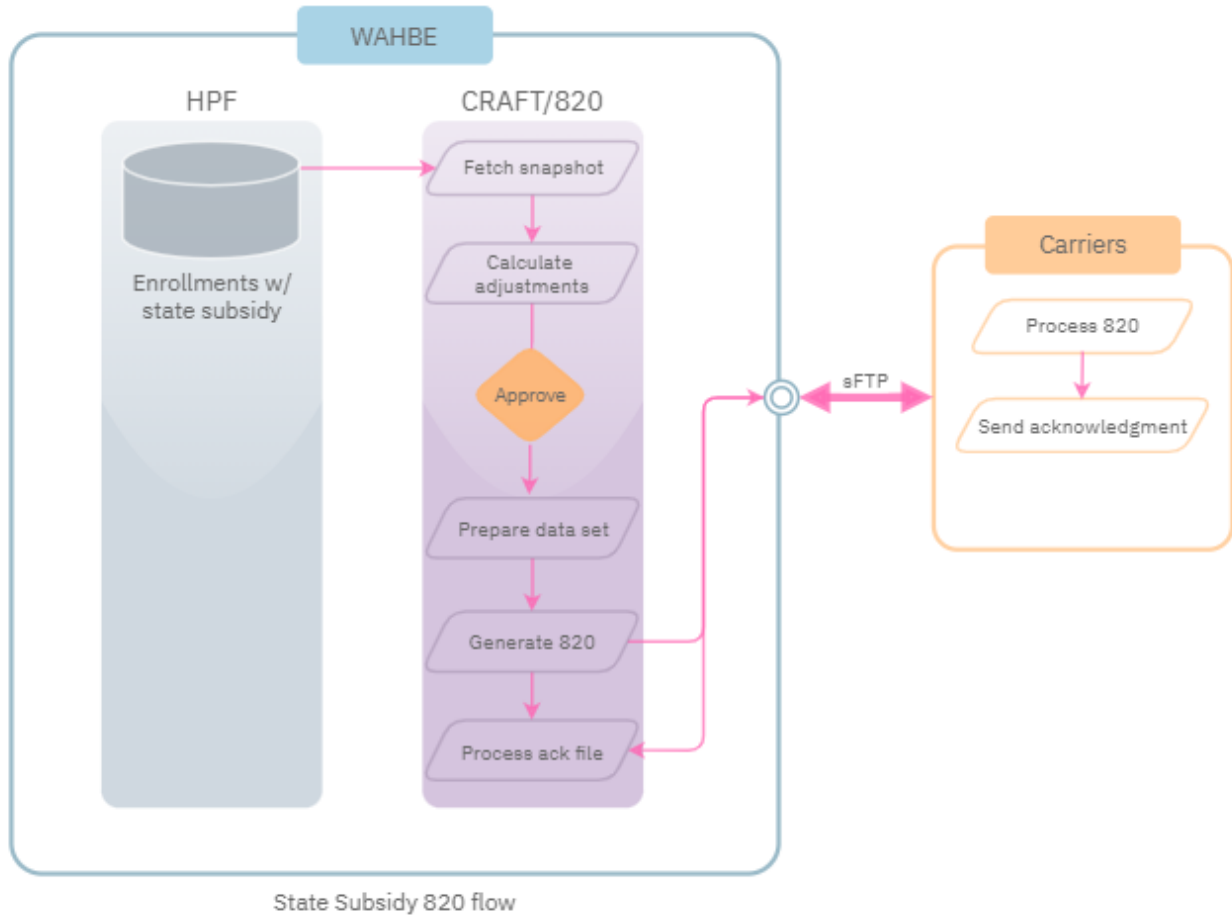
2.2   Connection Details

820 files will be delivered to carriers via sFTP. The outbound_820 folder will contain a carrier's monthly 820 file. Acknowledgments should be uploaded by carriers to the 820_Ack folder.

These sub folders exist under both the TEST(UAT) and PROD (Production) root folders:

Sub Folder Name	Description
Outbound_820	Where WAHBE drops 820 files for carriers.
820_Ack	Where carriers drop TA1 820 files for WAHBE.

2.3   Testing

All issuers joining the exchange or changing EDI vendors must complete testing as part of onboarding. Effective plan year 2022, these activities were expanded to include testing of 820 transactions to

support the implementation of Cascade Care Savings for Open Enrollment 10. Additional details related to carrier onboarding requirements can be found in the Carrier Operations Guide.

3   Business Rules

The following sections provide a high-level summary of WAHBE standards and business rules that apply to the State Premium Assistance Program for Cascade Care Savings related to it's implementation to 820.

3.1   Enrollment-Level Requirements

Enrollments that meet the following conditions will be included in the monthly 820 file and subsequent payment:

- Eligible for Cascade Care Savings; and,
- Coverage dates extend into the payment month
    - AND/OR: Change reporting has caused a retroactive change in enrollment dates and/or subsidy amount since the last 820 cycle; and,
- Enrollment has been effectuated[1]

3.2   File Structure

All payment details for the Cascade Care Savings program will be communicated within a single Transaction Set (ST/SE). This means that within a single 820 file, multiple households/enrollments will be reported within a single Transaction Set (ST-SE).

3.3   Payments and Adjustments

- Routine enrollment-level payments, where the Cascade Care Savings payment is for a single month, will use the SMAND payment type code
- Enrollment-level adjustments can be either positive or negative
    - A positive adjustment indicates the payment is for more than one payment cycle
    - A negative adjustment can be for one or more payment cycles
    - When an enrollment is due both a routine payment and negative adjustment in the same amount during the same payment cycle, the net paid for the enrollment during that cycle is zero dollars
    - All adjustments (positive, negative) will use the SMANDADJ payment type code

3.4   Household Details

- Demographic information is sent for the subscriber only
- A single subscriber may have more than 1 concurrent enrollment
    - In cases where these concurrent enrollments meet the enrollment-level requirements and are with a single carrier, the subscriber's identifiers will be present more than once in a single 820 file
- A WAHBE assigned enrollment ID/policy ID is only associated with a single, unique enrollment and will not be repeated

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[1] The terms effectuated and confirmed may be used interchangeably. These terms refer to enrollments effectuated via carrier 834 Confirm transaction, or enrollments auto-effectuated by WAHBE as part of Open Enrollment renewal activities.

3.5   Date Values

- The header-level date values (ISA09, GS04, BPR16) indicate when the 820 was generated
- For routine payments, the date ranges communicated in the DTM segments map exclusively to the current payment cycle
    - These date values do not necessarily align to the enrollment's coverage dates (which are communicated via 834)
- For adjustments, the date ranges communicated in the DTM segments map to the date period incurring an adjustment for the upcoming payment cycle
    - These date values may not include previous periods adjusted for the same enrollment ID/policy ID if they were already reconciled

4   Process Details

The monthly Cascade Care Savings 820 and payment process begins on the 16th (or first business day following) and runs for approximately four business days. Carriers will receive email notifications when 820 files have been dropped via sFTP and ACH payments have been made. Carriers are expected to acknowledge 820 files within 24 hours of receipt.

4.1   Process Timing

16th	State subsidy 820 & payment process starts
17th	Data validation & review
18th	820 & ACH generation
19th	Carriers process 820s and issue TA1 acknowledgements
20th	WAHBE processes TA1 acknowledgements
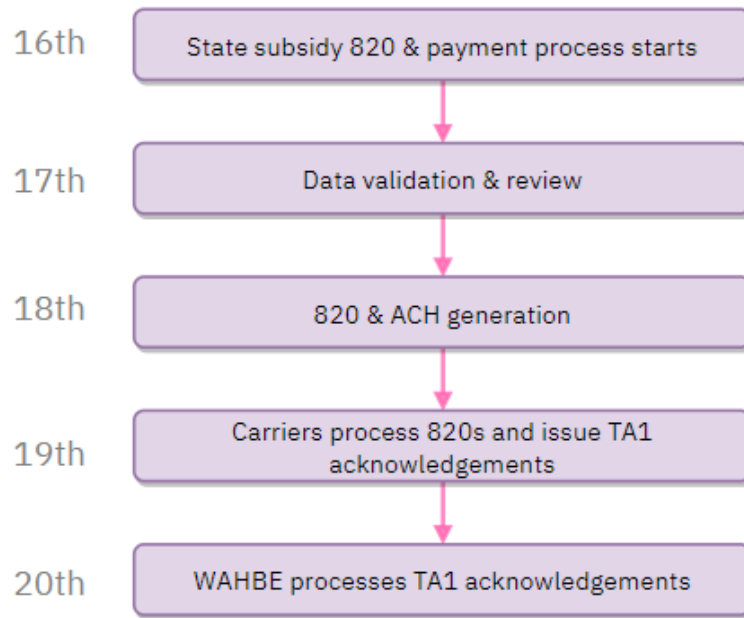
4.2   Example Scenarios: Open Enrollment

The following sections detail actions that may impact 820 files and ACH payments for plan selection that occurs during the Open Enrollment period.

4.2.1   Auto renewal[2] (same issuer)
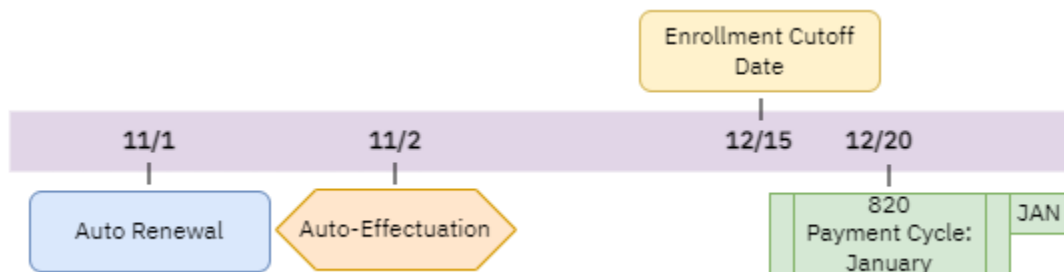
Date	Action
11/1/2022	Enrollment is auto renewed into same product, 834 Add is sent to carrier

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[2] Auto renewal and passive renewal may be used interchangeably to reference re-enrollments included in the batch auto renewal process (BAR) initiated by WAHBE.

| 11/2/2022 | Enrollment is auto-effectuated |
| 12/20/2022 | Enrollment is included in carrier's total payment for January 2023 |

4.2.2   Auto renewal (different issuer)
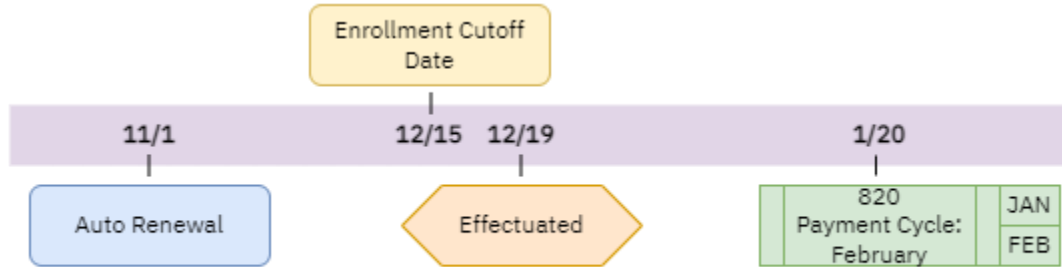
Date	Action
11/1/2022	Enrollment is auto renewed into new product with a different issuer, 834 Add is sent to carrier
11/2/2022	Enrollment is not auto-effectuated
12/18/2022	Member makes binder payment
12/19/2022	Carrier sends 834 Confirm to effectuate enrollment
1/20/2023	Enrollment is included in carrier's total payment for February 2023

4.2.3   Member is auto renewed but does not wish to be enrolled ($0 responsibility)
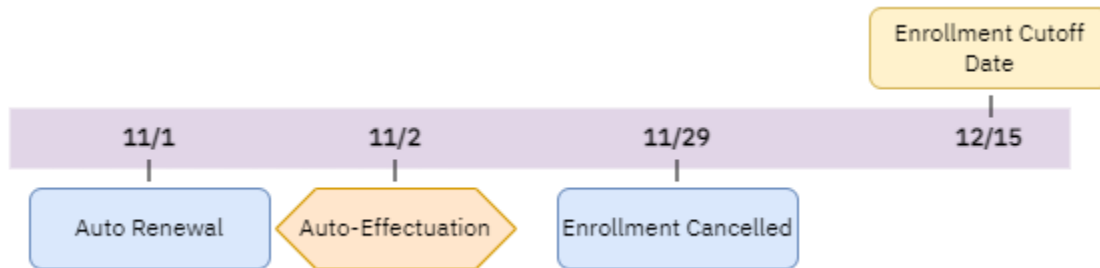
Date	Action
11/1/2022	Enrollment is auto renewed into same product, 834 Add is sent to carrier
11/2/2022	Enrollment is auto-effectuated
11/28/2022	Member receives 2023 plan benefit booklet & cards from carrier and contacts call center to disenroll
11/29/2022	834 Cancel is sent to carrier
12/20/2022	Enrollment is not included in carrier's total payment for January 2023

4.2.4   Plan change after auto renewal
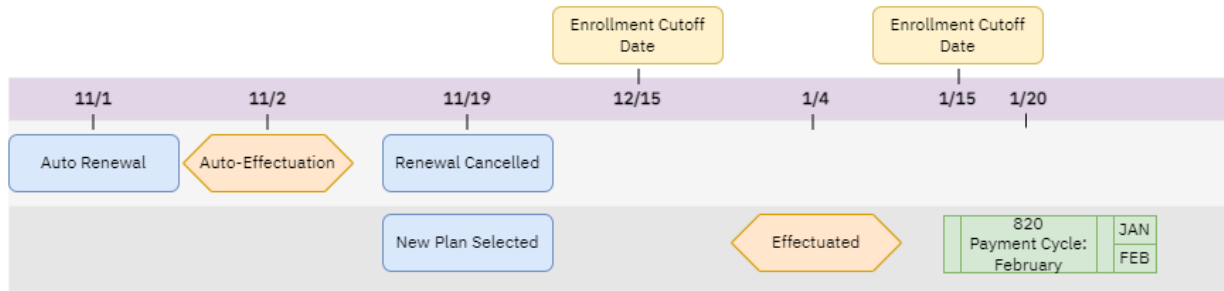
Date	Action
11/1/2022	Enrollment is auto renewed into same product, 834 Add is sent to carrier
11/2/2022	Enrollment is auto-effectuated
11/18/2022	Member shops and selects new plan in Healthplanfinder
11/19/2022	834 Cancel sent for original plan, 834 Add for new plan
1/4/2023	Member makes binding payment, carrier sends 834 Confirm to effectuate
1/19/2023	Enrollment is included in total payment for February 2023 (includes positive adjustment for January)

4.2.5   Active/Manual renewal
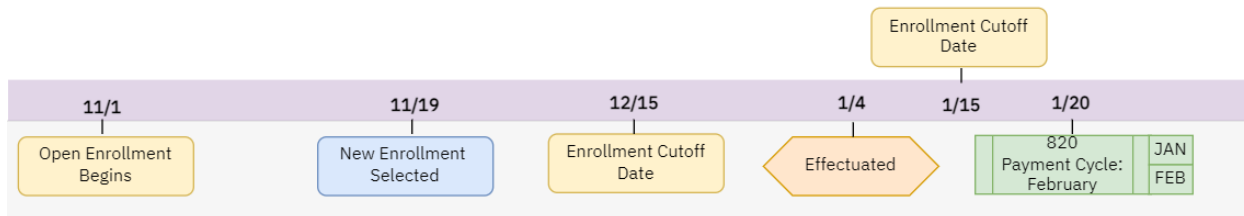
Date	Action
11/1/2022	Open Enrollment begins and member is not auto renewed for the upcoming plan year
11/18/2022	Member shops and actively selects new plan in Healthplanfinder, 834 Add is sent to carrier
1/4/2023	Member makes binding payment, carrier sends 834 Confirm to effectuate
1/19/2023	Enrollment is included in total payment for February 2023 (includes positive adjustment for January)

4.3   Example Scenarios: Outside Open Enrollment

The following sections detail actions that may impact 820 files and ACH payments for plan selections that occurs outside of Open Enrollment, via a Special Enrollment Period.

4.3.1   Special Enrollment after OE ($0 responsibility)
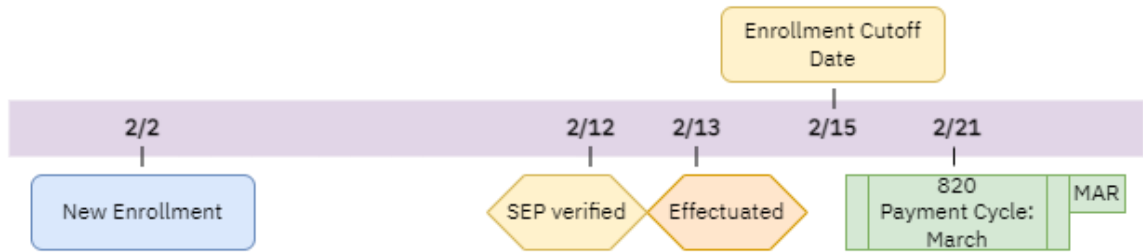
Date	Action
2/1/2023	Member qualifies for special enrollment and selects plan with 3/1 start
2/2/2023	834 Add sent to carrier with $0 member responsibility
2/12/2023	Carrier receives documentation and verifies special enrollment period
2/13/2023	Carrier immediately sends 834 Confirm to effectuate
2/21/2023	Enrollment is included in total payment for March 2023

4.3.2   Special enrollment after OE (>$0 responsibility)
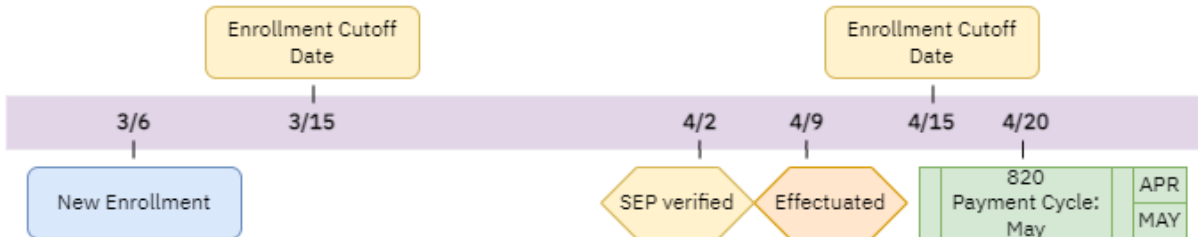
Date	Action
3/5/2023	Member qualifies for special enrollment and selects plan with 4/1 start
3/6/2023	834 Add sent to carrier with $5 member responsibility
4/2/2023	Carrier receives documentation and verifies special enrollment period
4/8/2023	Member makes binding payment
4/9/2023	Carrier sends 834 Confirm to effectuate
4/20/2023	Enrollment is included in total payment for May 2023

4.3.3   Enrollment is cancelled for nonpayment (>$0 responsibility)

Date	Action
6/24/2023	Member qualifies for special enrollment and selects plan with 8/1 start date
6/25/2023	834 Add sent to carrier with $73 member responsibility
8/1/2023	Member fails to make binding payment by due date
8/2/2023	Carrier sends 834 Cancel to cancel enrollment for nonpayment

4.3.4   Enrollment is terminated for non-payment (>$0 responsibility)

Date	Action
1/11/2023	Member qualifies for special enrollment and selects plan with 2/1 start
1/12/2023	834 Add sent to carrier with $28 member responsibility
2/20/2023	Carrier receives documentation and verifies special enrollment period
3/11/2023	Member makes binding payment
3/12/2023	Carrier sends 834 Confirm to effectuate
3/20/2023	Enrollment is included in total payment for April 2023
6/10/2023	Member misses July payment
7/1/2023	3-month grace period begins
9/30/2023	3-month grace period expires and member has not caught up on payments
10/6/2023	Carrier sends 834 Term to terminate 7/31/2023 for nonpayment
10/20/2023	Enrollment's subsidy payment is recouped for July-October

4.3.5   Eligibility change resulting in loss of state subsidy
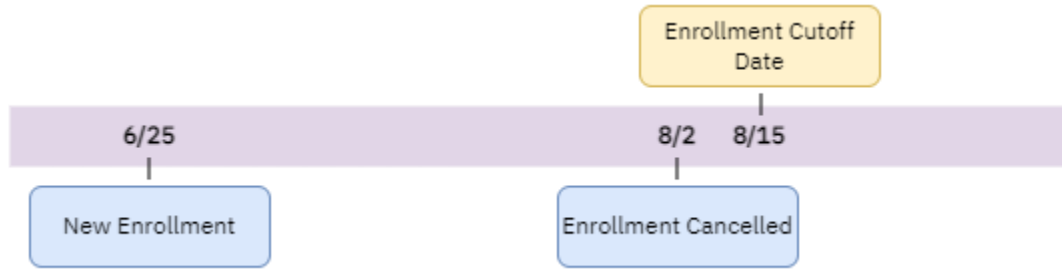

Date	Action
1/18/2023	Customer qualifies for special enrollment and selects plan with 3/1 start
1/19/2023	834 Add sent to carrier with $90 member responsibility
1/27/2023	Carrier receives documentation and verifies special enrollment period
2/4/2023	Member makes binding payment
2/5/2023	Carrier sends 834 Confirm to effectuate
2/20/2023	Enrollment is included in total payment for March 2023
3/2/2023	Member reports a change and loses eligibility for state subsidy, effective 4/1
3/20/2023	Enrollment is not included in carrier's total payment for April 2023

4.3.6   Eligibility change resulting in reinstatement of state subsidy after a gap in payment

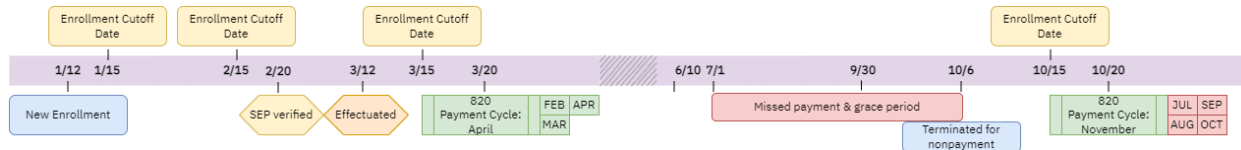| Date	Action
1/18/2023	Customer qualifies for special enrollment and selects plan with 3/1 start
1/19/2023	834 Add sent to carrier with $90 member responsibility
1/27/2023	Carrier receives documentation and verifies special enrollment period
2/4/2023	Member makes binding payment
2/5/2023	Carrier sends 834 Confirm to effectuate
2/20/2023	Enrollment is included in total payment for March 2023
3/2/2023	Member reports a change and loses eligibility for state subsidy, effective 4/1
3/20/2023	Enrollment is not included in carrier's total payment for April 2023
3/28/2023	Member reports a change and gains eligibility for state subsidy, effective 5/1
4/20/2023	Enrollment is included in carrier's total payment for May 2023

5   Acknowledgements

Acknowledgments provide WAHBE with confirmation that a file has been received, and indicate whether or not it was successfully processed.

Within 24 hours of receiving the monthly 820, carriers are required to respond with a positive or negative file-level acknowledgment (TA1). A transaction-level acknowledgment (999) is not required.

If a negative acknowledgment is received, the record associated with that file will be updated accordingly, and an analyst will be notified. Triage and resolution will follow established direct reconciliation practices.

5.1   TA1 Naming Conventions

The following table outlines the component identifiers that makeup the file name for each 820 TA1 transaction.

Component	Description
Trading partner ID	Carrier FEIN
Coverage year	YYYY
Date stamp	YYYYMMDD
Time stamp	HHMMSS
Transaction type	820
Frequency	M (monthly)
Direction	O (outbound)
.TA1	Acknowledgment type
.edi	File format

Example TA1 file name: 910000000.2023.20221220171315.820.M.O.TA1.edi

6   Transaction Details

6.1   File Contents
The monthly Cascade Care Savings 820 file contains:

- Payment-level details: the total payment amount a carrier can expect to receive for a given payment cycle and a trace ID that can be associated to the ACH payment
- Enrollment-level details: the individual policies and the specific payments or adjustments associated with them

6.2   Differences from CMS 820
WAHBE's 820 implementation varies from CMS in the following ways:

- Report type codes are not used
- Subscriber identifier is reported in NM109
- REF01/0F is not used
- Only 2 payment type codes are used:
    - SMAND = State Mandate Benefit Subsidy
    - SMANDADJ = State Mandate Benefit Subsidy Adjustment

6.3   820 File Naming and Hierarchy
The WAHBE 820 contains remittance details across all plans for a single carrier within a single file. The naming convention elements are described below.

Component	Description
Trading partner ID	Carrier FEIN
Coverage year	YYYY
Date stamp	YYYYMMDD
Time stamp	HHMMSS
Transaction type	820
Frequency	M (monthly)
Direction	O (outbound)
.edi	File format

6.3.1   Example File Name:
910000000.2023.20221220171315.820.M.O.edi

6.4   Segment Terminator
WAHBE's implementation of the 820 will use the tilde (~) which is the same segment terminator used in the 834. Inbound carrier acknowledgements should contain this same segment terminator value. Acknowledgements received with variations may not be processed.

6.5   Transaction Specifics

Loop	Seg	Element	Description	Value
Interchange File Header	**ISA**		**Interchange File Header**	
		ISA01	Authorization Information Qualifier	0
		ISA02	Authorization Information	Spaces
		ISA03	Security Information Qualifier	0
		ISA04	Security Information	Spaces
		ISA05	Interchange Sender ID Qualifier	30
		ISA06	Interchange Sender ID	454846258
		ISA07	Interchange Receiver ID Qualifier	30
		ISA08	Interchange Receiver ID	Carrier's Federal Tax ID Number
		ISA09	Interchange Date (YYMMDD)	Date the 834 file was generated by HBE
		ISA10	Interchange Time (HHMM)	Time the 834 file was generated by HBE
		ISA11	Repetition Separator	^ (caret)
		ISA12	Interchange Control Version Number	501
		ISA13	Interchange Control Number	HBE System Generated
		ISA14	Acknowledgement Requested	1
		ISA15	Interchange Usage Indicator	T = Test P = Production
		ISA16	Component Element Separator	: (colon)
Functional Group Header	**GS**		**Functional Group Header**	
		GS01	Functional Identifier Code	RA
		GS02	Application Sender's Code	454846258
		GS03	Application Receiver's Code	Carrier's Federal Tax ID Number
		GS04	System Date (CCYYMMDD)	Date the 820 transaction was generated
		GS05	System Time (HHMMSSDD)	Time the 820 transaction was generated
		GS06	Group Control Number	HBE system generated
		GS07	Responsible Agency Code	X
		GS08	Version/Release	005010X306
Transaction Header	**ST**		**Transaction Set Header**	
		ST01	Transaction Set Identifier Code	820
		ST02	Transaction Set Control Number	WAHBE assigned ST-SE transaction set control number
		ST03	Implementation Convention Reference	005010X306

	BPR		Financial Information	
		BPR01	Transaction Handling Code	I
		BPR02	Monetary Amount	Total payment to carrier for current payment period
		BPR03	Credit/Debit Flag Code	C
		BPR04	Payment Method Code	NON
		BPR16	Date	Date in CCYYMMDD format
	TRN		Reassociation Trace Number	
		TRN01	Trace Type Code	3
		TRN02	Reference Identification	ACH trace number
	REF		*Exchange Assigned Qualified Health Plan Identifier*	*Situational* When all 2000 loops are for the same 14 digit CMS plan ID, a single REF segment will be included at the transaction level rather than within each 2100 loop.
		REF01	Reference Identification Qualifier	38
		REF02	Reference Identification	14 digit CMS plan ID
1000A	N1		Payee Name	
		N101	Entity Identifier Code	PE
		N102	Name	Carrier name
		N103	Identification Code Qualifier	FI
		N104	Identification Code	Carrier's Federal Tax ID Number
1000B	N1		Payer's Name	
		N101	Entity Identifier Code	RM
		N102	Name	WAHBE
		N103	Identification Code Qualifier	58
		N104	Identification Code	454846258
2000	ENT		Program Level Information	
		ENT01	Assigned Number	Sequential number for each enrollment within the file
2100	NM1		Individual Name	
		NM101	Entity Identifier Code	IL
		NM102	Entity Type Qualifier	1
		NM103	Last Name	Subscriber's last name
		NM104	First Name	Subscriber's first name
		NM105	Middle Name	Subscriber's middle name

		NM108	Identification Code Qualifier	C1
		NM109	Identification Code	WAHBE assigned subscriber ID
	REF		**Exchange Assigned Qualified Health Plan Identifier**	
		REF01	Reference Identification Qualifier	38
		REF02	Reference Identification	14 digit CMS plan ID
	REF		**Exchange Assigned Qualified Policy Identifier**	
		REF01	Reference Identification Qualifier	POL
		REF02	Reference Identification	WAHBE assigned enrollment ID
2300	**RMR**		**Enrollee Level Payment Information**	
		RMR01	Reference Identification Qualifier	ZZ
		RMR02	Reference Identification	SMAND = State Mandate Benefit Subsidy
				SMANDADJ = State Mandate Benefit Subsidy Adjustment
		RMR04	Monetary Amount	Amount of payment or adjustment
	DTM		**Individual Coverage Period**	
		DTM01	Date/Time Qualifier	582
		DTM05	Date Time Period Format Qualifier	RD8
		DTM06	Date Time Period	Date range expressed in CCYYMMDD format with dash separating start and end dates
Transaction Set Trailer	**SE**		**Transaction Set Trailer**	
		SE01	Number of Included Segments	WAHBE calculated value
		SE02	Transaction Set Control Number	WAHBE assigned ST-SE transaction set control number
Functional Group Trailer	**GE**		**Functional Group Trailer**	
		GE01	Number of Transaction Sets included in the Functional Group.	WAHBE calculated number
		GE02	Functional Group Control Number	WAHBE assigned Functional Group Control Number
Interchange Control Trailer	**IEA**		**Interchange Control Trailer**	
		IEA01	Number of included Functional Groups	WAHBE calculated number
		IEA02	Interchange Control Number	WAHBE assigned Interchange Control Number

7   Payments and Adjustments

Monthly payments and adjustments are both communicated via the 820 transaction. The following set of scenarios describe the pre-conditions, actions, and resulting 820 data carriers can expect to receive.

7.1   Routine Payment

Payment month	July 2023
Status	Active
Enrollment details	1/1/2023 start date, $195 Cascade Care Savings
Action taken	N/A
Adjustment type	N/A
Net payment	$195
ENT segment example	ENT*1 NM1*IL*1*Calrissian*Lando*B**C1*2698876 REF*38*224422WA0670001 REF*POL*46888999 RMR*ZZ*SMAND**195 DTM*582****RD8*20230701-20230731

7.2   Positive Adjustments

Positive adjustments occur when WAHBE makes a payment for more than a single payment cycle. Generally this occurs when a coverage start date or end date is changed, increasing the coverage period.

7.2.1   Coverage Start Date is Moved to Earlier Date
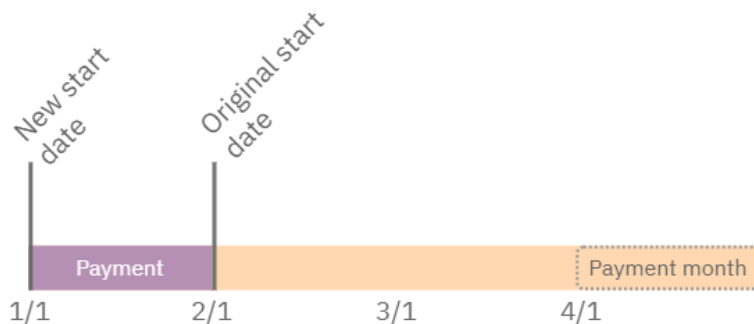
Payment month	April 2023
Status	Active
Enrollment details	2/1/2023 start date, $135 Cascade Care Savings
Action taken	On 3/4/2023, account worker approves change to coverage start date, changes to 1/1/2023
Adjustment type	Positive
Adjustment amount	$135
Payment for upcoming cycle	$135
Net payment	$270

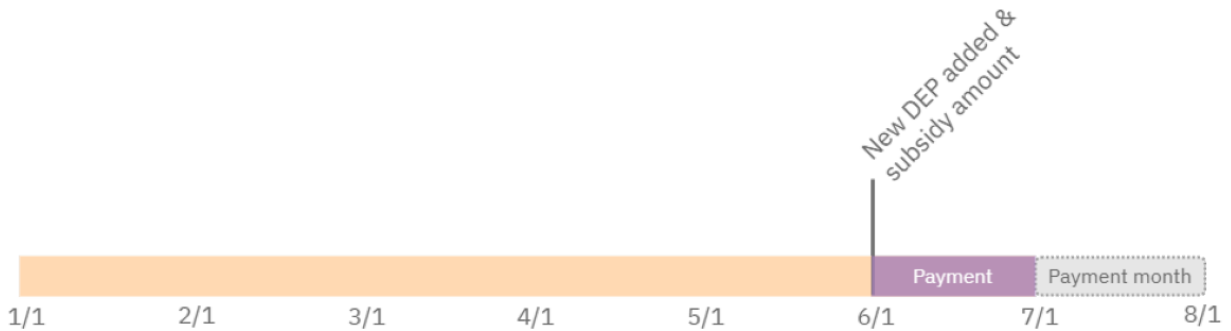|	
ENT segment example	ENT*1 NM1*IL*1*Calrissian*Lando*B**C1*2698876 REF*38*224422WA0670001 REF*POL*46888999 RMR*ZZ*SMAND**135 DTM*582****RD8*20230401-20230430 RMR*ZZ*SMANDADJ**135 DTM*582****RD8*20230101-20230131

7.2.2 Coverage End Date is Moved to Later Date
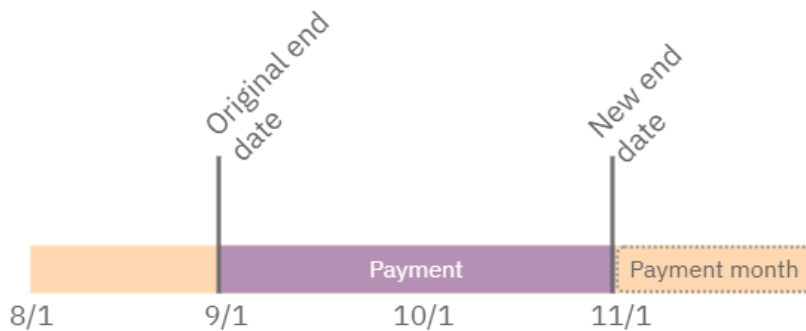
7.2.3

Payment month	November 2023
Status	Termed
Enrollment details	8/31/2023 end date, $125 Cascade Care Savings
Action taken	On 10/24/2023, account worker approves change to coverage end date, changes to 10/31/2023
Adjustment type	Positive
Adjustment amount	$250
Payment for upcoming cycle	$0
Net payment	$250
ENT segment example	ENT*1 NM1*IL*1*Calrissian*Lando*B**C1*2698876 REF*38*224422WA0670001 REF*POL*46888999 RMR*ZZ*SMANDADJ**250 DTM*582****RD8*20230901-20231031

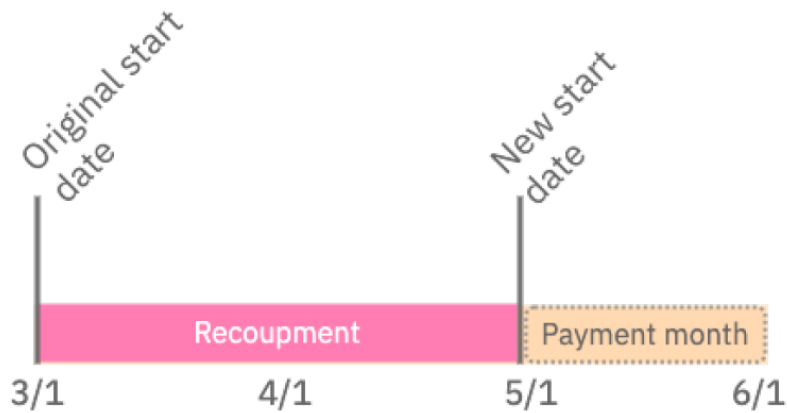## 7.2.4   Retroactive Change for a Prior Period (Positive)

Payment month	July 2023
Status	Active
Enrollment details	12/31/2023 end date, $100 Cascade Care Savings
Action taken	On 5/24/2023, a dependent is added with a coverage start date of 6/1/2023. New Cascade Care Savings amount is $200.
Adjustment type	Positive
Adjustment amount	$100* ($200 being new eligibility amount, less $100 paid last 820 cycle)
Payment for upcoming cycle	$200
Net payment	$300
ENT segment example	ENT*1 NM1*IL*1*Calrissian*Lando*B**C1*2698876 REF*38*224422WA0670001 REF*POL*46888999 RMR*ZZ*SMAND**200 DTM*582****RD8*20230701-20230731 RMR*ZZ*SMANDADJ**100 DTM*582****RD8*20230601-20230630

## 7.3   Negative Adjustments

Negative adjustments occur when a negative net payment amount is communicated in the 820, indicating a reduction in the total payment for that cycle. Usually, this occurs when a coverage start or end date is changed.

7.3.1   Coverage Start Date is Moved to Later Date (Negative)

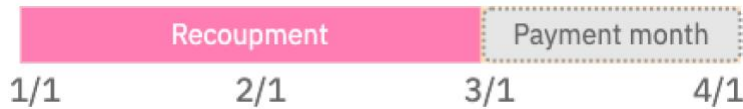| Payment month	June 2023
Status	Active
Enrollment details	3/1/2023 start date, $100 Cascade Care Savings
Action taken	On 5/14/2023, account worker approves change to coverage start date, changes to 5/1/2023
Adjustment type	Negative
Adjustment amount	-$200
Payment for upcoming cycle	$100
Net payment	-$100
ENT segment example	ENT*1 NM1*IL*1*Calrissian*Lando*B**C1*2698876 REF*38*224422WA0670001 REF*POL*46888999 RMR*ZZ*SMANDADJ**100 DTM*582****RD8*20230501-20230531 RMR*ZZ*SMANDADJ**-200 DTM*582****RD8*20230301-20230430

7.3.2   Coverage End Date is Moved to Earlier Date
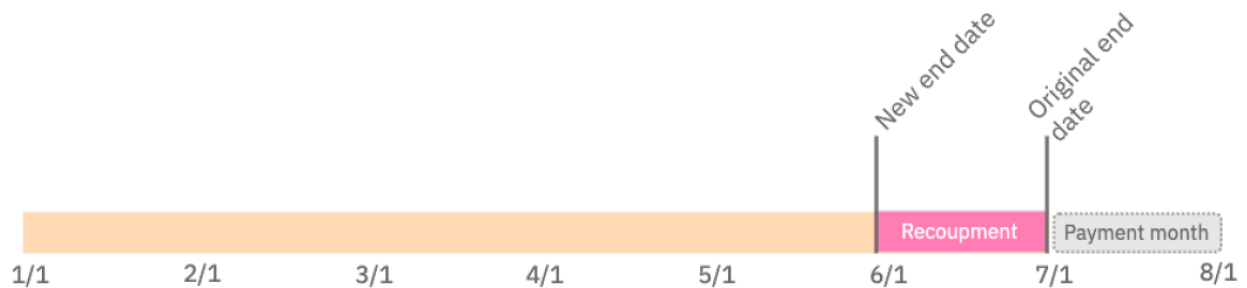
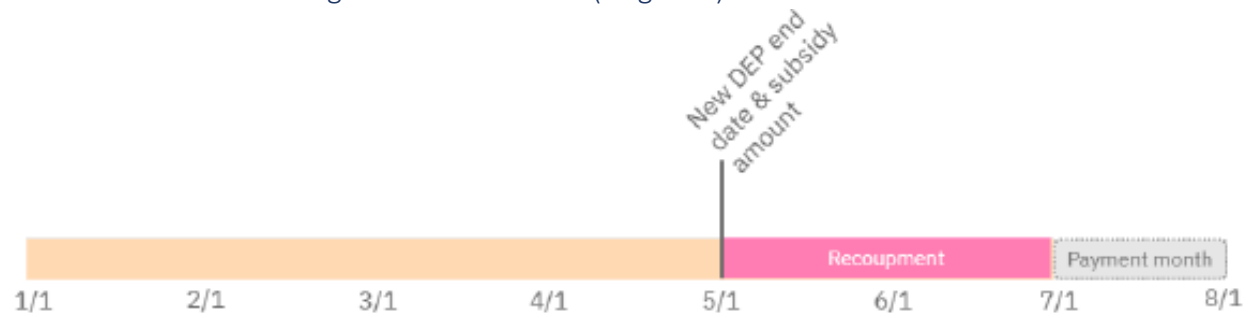| Payment month	August 2023
Status	Termed
Enrollment details	6/30/2023 end date, $100 Cascade Care Savings

Action taken	On 7/11/2023, account worker approves change to coverage end date, changes to 5/31/2023
Adjustment type	Negative
Adjustment amount	-$100
Payment for upcoming cycle	$0
Net payment	-$100
ENT segment example	ENT*1 NM1*IL*1*Calrissian*Lando*B**C1*2698876 REF*38*224422WA0670001 REF*POL*46888999 RMR*ZZ*SMANDADJ**-100 DTM*582****RD8*20230601-20230630

7.3.3 Effectuated Enrollment is Cancelled by WAHBE

Payment month	March 2023
Status	Cancelled
Enrollment details	$100 Cascade Care Savings
Action taken	On 2/20/2023, account worker cancels enrollment as part of post-OE cleanup
Adjustment type	Negative
Adjustment amount	-$200
Payment for upcoming cycle	$0
Net payment	-$200
ENT segment example	ENT*1 NM1*IL*1*Calrissian*Lando*B**C1*2698876 REF*38*224422WA0670001 REF*POL*46888999 RMR*ZZ*SMANDADJ**-200 DTM*582****RD8*20230101-20230228

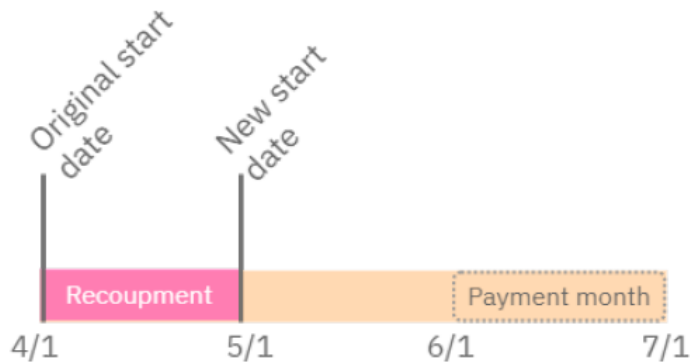### 7.3.4 Retroactive Change for a Prior Period (Negative)

Payment month	July 2023
Status	Active
Enrollment details	1/1/2023 start date, $200 Cascade Care Savings
Action taken	On 6/12/2023, a dependent is retroactively terminated with a coverage end date of 4/30/2023. New Cascade Care Savings amount is $100.
Adjustment type	Positive
Adjustment amount	$-200* [*$100 being new eligibility amount, less $200 paid in each of the May & June 820 cycles]
Payment for upcoming cycle	$100
Net payment	$100
ENT segment example	ENT*1 NM1*IL*1*Calrissian*Lando*B**C1*2698876 REF*38*224422WA0670001 REF*POL*46888999 RMR*ZZ*SMAND**100 DTM*582****RD8*20230701-20230731 RMR*ZZ*SMANDADJ**-200 DTM*582****RD8*20230501-20230630

7.4 Zero-Dollar Net Payments

A zero-dollar net payment occurs for an enrollment when both a routine payment and a negative adjustment in the same dollar amount happen during the same payment cycle.

7.4.1   Coverage Start Date is Moved to Later Date ($0)

Payment month	June 2023
Status	Active
Enrollment details	4/1/2023 start date, $100 Cascade Care Savings
Action taken	On 5/5/2023, an account worker approves change to coverage start date, changes to 5/1/2023
Adjustment type	Negative adjustment/Zero-dollar net payment
Adjustment amount	$-100
Payment for upcoming cycle	$100
Net payment	$0
ENT segment example	ENT*1 NM1*IL*1*Calrissian*Lando*B**C1*2698876 REF*38*224422WA0670001 REF*POL*46888999 RMR*ZZ*SMANDADJ**100 DTM*582****RD8*20230601-20230630 RMR*ZZ*SMANDADJ**-100 DTM*582****RD8*20230401-20230430

8   Audits

Beginning with the first audit submission for the January 2023 cycle[3], carriers will include the Cascade Care Savings amount for eligible enrollments. This element, MonthlyStateSubsidyAmount, is part of the FinancialInformation node. Complete contextual details can be found in the FCA ICD.

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[3] The first submission for the January 2023 audit cycle will be in December 2022.

9   Change Summary

Author(s)	Updated	Details
Erin Kokenge, Keri Brunner	8/18/2022	Final version of guide drafted and transferred to Word "State subsidy" updated to "Cascade Care Savings" Connection details updated: • sFTP subfolder name for Ack • Table added for 820 for sFTP folder locations Business Rules section moved prior to Process Details section Transaction Details section updated: • Segment terminators used will be tilde (~) • ISA14 acknowledgment requested value will be "1" • ISA16 component element separator will be a colon ":" • GS01 functional identifier code will be "RA" • BPR04 will be "NON", BPR12 through BPR15 will not be used • Situation detail added for scenario where all 2000 loops are for the same 14 digit CMS Plan ID, will send REF01 and REF02 in Transaction Header Sample 820 and related TA1 files added: • Same 14 digit CMS Plan ID (REF01 and REF02 in Transaction Header) • Multiple 14 digit CMS Plan ID
Jonathan Hutton, Keri Brunner, Erin Kokenge, Don Cotey	3/11/2022	Initial version of guide published

10 Sample Files

10.1 820 File: Same 14 Digit CMS Plan ID

File name: 900000001.2023.20230318171315.820.M.O.edi

```
ISA*00*      *00*      *30*454846258    *30*900000001    *230318*0203*^*00501*100000008*1*T*:~
GS*RA*454846258*900000001*20230318*0203*101*X*005010X306~
ST*820*1001*005010X306~
BPR*I*1254.28*C*NON***********20230318~
TRN*3*6e51d61d-a313-4190-bc54-93576203fa73~
REF*38*12345WA0123456~
N1*PE*Happy Health*FI*900000001~
N1*RM*WAHBE*58*454846258~
ENT*1~
NM1*IL*1*Xgepspszm*Nathanielhcn****C1*45205063~
REF*POL*4564889~
RMR*ZZ*SMAND**235.21~
DTM*582****RD8*20230401-20230430~
ENT*2~
NM1*IL*1*LambornNJ*Cameron****C1*45207537~
REF*POL*4567355~
RMR*ZZ*SMAND**143.37~
DTM*582****RD8*20230401-20230430~
ENT*3~
NM1*IL*1*MenoniNJ*Denver****C1*45207576~
REF*POL*4567370~
RMR*ZZ*SMAND**273.70~
DTM*582****RD8*20230401-20230430~
ENT*4~
NM1*IL*1*LiebeckNJ*Monty****C1*45207589~
REF*POL*4567374~
RMR*ZZ*SMAND**311.33~
DTM*582****RD8*20230401-20230430~
ENT*5~
NM1*IL*1*Angland*Bryce****C1*45207392~
REF*POL*4568282~
RMR*ZZ*SMAND**141.96~
DTM*582****RD8*20230401-20230430~
ENT*6~
NM1*IL*1*Templin*Michal****C1*45207445~
REF*POL*4568020~
RMR*ZZ*SMAND**148.71~
DTM*582****RD8*20230401-20230430~
SE*37*1001~
GE*1*101~
IEA*1*100000008~
```

10.1.1 TA1 Response File (Accepted)

File name: 900000001.2023.20230318171315.820.M.O.TA1.edi

```
ISA*00*      *00*      *30*900000001    *30*454846258    *230320*1009*^*00501*000000209*0*T*:~
TA1*100000008*230318*0203*A*000~
IEA*0*000000209~
```

10.2 820 File: Multiple 14 Digit CMS Plan IDs

File name: 900000001.2023.20230518229184.820.M.O.edi

```
ISA*00*      *00*      *30*454846258    *30*900000001    *230518*0203*^*00501*100000029*1*T*:~
GS*RA*454846258*900000001*20230518*0203*101*X*005010X306~
ST*820*1001*005010X306~
BPR*I*6024.69*C*NON***********20230518~
TRN*3*6e51d61d-a313-4190-bc54-93576203fa94~
N1*PE*Happy Health*FI*900000001~
N1*RM*WAHBE*58*454846258~
ENT*1~
NM1*IL*1*Puwyucaip*Briannabjj****C1*45205109~
REF*38*12345WA0123458~
REF*POL*4564933~
RMR*ZZ*SMAND**660.16~
DTM*582****RD8*20230601-20230630~
ENT*2~
NM1*IL*1*Azqrnweho*Jaxonwuo****C1*45202851~
REF*38*12345WA0123456~
REF*POL*4563567~
RMR*ZZ*SMAND**155.77~
DTM*582****RD8*20230601-20230630~
ENT*3~
NM1*IL*1*Kuglgrobp*Makaylazpo****C1*45205071~
REF*38*12345WA0123458~
REF*POL*4564897~
RMR*ZZ*SMAND**1250.11~
DTM*582****RD8*20230601-20230630~
ENT*4~
NM1*IL*1*Cosma*Octavio****C1*45201704~
REF*38*12345WA0123456~
REF*POL*4562931~
RMR*ZZ*SMAND**304.76~
DTM*582****RD8*20230601-20230630~
RMR*ZZ*SMANDADJ**-304.76~
DTM*582****RD8*20230501-20230531~
ENT*5~
NM1*IL*1*GabbettNJ*Nelsonnj****C1*45207515~
REF*38*12345WA0123456~
REF*POL*4567344~
RMR*ZZ*SMAND**965.26~
DTM*582****RD8*20230601-20230630~
ENT*6~
NM1*IL*1*LeabNJ*Emanuel****C1*45207559~
```

```
REF*38*12345WA0123456~
REF*POL*4567363~
RMR*ZZ*SMAND**453.41~
DTM*582****RD8*20230601-20230630~
RMR*ZZ*SMANDADJ**453.41~
DTM*582****RD8*20230501-20230531~
ENT*7~
NM1*IL*1*OlinskyNJ*Ian****C1*45207698~
REF*38*12345WA0123458~
REF*POL*4567434~
RMR*ZZ*SMAND**418.72~
DTM*582****RD8*20230601-20230630~
ENT*8~
NM1*IL*1*Carabine*Jean****C1*45207327~
REF*38*12345WA0123458~
REF*POL*4567475~
RMR*ZZ*SMAND**100.00~
DTM*582****RD8*20230601-20230630~
ENT*9~
NM1*IL*1*Muepihgrm*Jeremiahlcp****C1*45201793~
REF*38*12345WA0123457~
REF*POL*4563011~
RMR*ZZ*SMAND**337.00~
DTM*582****RD8*20230601-20230630~
RMR*ZZ*SMANDADJ**337.00~
DTM*582****RD8*20230501-20230531~
ENT*10~
NM1*IL*1*Petronis*Gaylord****C1*45207643~
REF*38*12345WA0123458~
REF*POL*4567405~
RMR*ZZ*SMAND**340.85~
DTM*582****RD8*20230601-20230630~
ENT*11~
NM1*IL*1*OlinskyNJ*Ian****C1*45207698~
REF*38*12345WA0123456~
REF*POL*4567435~
RMR*ZZ*SMAND**553.00~
DTM*582****RD8*20230601-20230630~
SE*78*1001~
GE*1*101~
IEA*1*100000029~
```

10.2.1 TA1 Response File (Accepted)

File name: 900000001.2023.20230518229184.820.M.O.TA1.edi

```
ISA*00*       *00*       *30*900000001    *30*454846258    *230519*1027*^*00501*000000112*0*T*:~
TA1*100000029*230518*0203*A*000~
IEA*0*000000112~
```